

Ricci solitons with large symmetry group

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## Ricci flow

$$\frac{\partial g_\tau}{\partial \tau} = -2\text{Ric}(g_\tau)$$

Einstein metrics  $g$  have  $\text{Ric}(g) = -\frac{\epsilon}{2}g$ , so give solution evolving by homotheties

$$g_\tau = (1 + \epsilon\tau)g$$

Generalise? Allow flow of diffeomorphisms

$$g_\tau = (1 + \epsilon\tau)\psi_\tau^*g$$

These arise from *Ricci solitons*

$$\text{Ric}(g) + \frac{1}{2}L_Xg + \frac{\epsilon}{2}g = 0$$

Equation for metric  $g$  and vector field  $X$ .

$\epsilon = 0$  steady soliton

$\epsilon = 1$  expanding

$\epsilon = -1$  shrinking

Important special case—*gradient solitons*

Now  $X = \text{grad } u$ , so equation is

$$\text{Ric}(g) + \text{Hess}(u) + \frac{\epsilon}{2}g = 0$$

How to find solutions?

Symmetries?

No compact homogeneous examples except Einstein metrics.

Weaken homogeneity assumption?

Cohomogeneity one metrics.

Take  $g$  (and  $u$ ) to depend only on one variable  $t$

eg if  $G$  acts isometrically on manifold  $M$  (preserving  $u$ ), with generic hypersurface orbits.

Example

$M = \mathbb{R}^n$  :  $G = SO(n)$  : generic orbit  $S^{n-1}$

$$g = dt^2 + f(t)g_{\text{round}} \quad : \quad u = u(t)$$

Nonlinear ODE system.

Special orbit at origin–boundary conditions.

$n = 2$  Hamilton-Witten cigar (asymptotic to cylinder)

$n \geq 3$  Bryant soliton (asymptotic to paraboloid)

## Kähler examples

(Cao, Koiso, Guan, Feldman-Ilmanen-Knopf, Pedersen-Tonneson-Friedman-Valent, Apostolov-Calderbank-Gauduchon-Tonneson-Friedman...)

Hypersurface circle bundle over  $\mathbb{C}P^n$  or more general Kähler-Einstein base.

Noncompact steady, expanding.

Compact shrinking.

FIK produce some complete noncompact *shrinking* solitons in  $\mathbb{C}P^n$  case.

## Gradient Ricci solitons

Metric  $dt^2 + g_t$  :  $X = \text{grad } u$ .

Cohomogeneity 1 equations:

$$\begin{aligned}\text{tr } \dot{L} + \text{tr } (L^2) - \ddot{u} &= \frac{\epsilon}{2} \\ \dot{L} + (\text{tr } L)L - r - \dot{u}L &= \frac{\epsilon}{2}.\end{aligned}$$

$L$  is shape operator (endomorphism wrt the metric  $g_t$  on hypersurface orbit), so

$$\dot{g}_t(Y, Z) = 2g_t(LY, Z)$$

Also  $r$  is Ricci endomorphism wrt  $g_t$

Motivated by work in Einstein case (W-W):

Choose hypersurface to be circle bundle over product of Kähler-Einstein factors.

$f(t)$  gives scale in circle;  $g_i(t)$  gives scale on base factors.

Constants  $n_i, p_i, q_i$  (dims, Einstein constants, bundle)

Equations are:

$$\begin{aligned} \frac{\ddot{f}}{f} + \sum_{i=1}^r 2n_i \frac{\ddot{g}_i}{g_i} - \ddot{u} &= \frac{\epsilon}{2}, \\ \frac{\dot{f}}{f} + \sum_{i=1}^r 2n_i \frac{\dot{f}\dot{g}_i}{fg_i} - \frac{\dot{u}\dot{f}}{f} - \sum_{i=1}^r \frac{n_i q_i^2 f^2}{2g_i^4} &= \frac{\epsilon}{2}, \\ \frac{\ddot{g}_i}{g_i} - \left(\frac{\dot{g}_i}{g_i}\right)^2 + \frac{\dot{f}\dot{g}_i}{fg_i} + \sum_{j=1}^r 2n_j \frac{\dot{g}_i\dot{g}_j}{g_i g_j} - \frac{\dot{u}\dot{g}_i}{g_i} \\ &\quad - \frac{p_i}{g_i^2} + \frac{q_i^2 f^2}{2g_i^4} = \frac{\epsilon}{2}. \end{aligned}$$

New variable  $ds = f(t) dt$ . Let

$$\alpha(s) = f(t)^2 \quad : \quad \beta_i(s) = g_i(t)^2 \quad : \quad \phi(s) = u(t).$$

Equations become:

$$\begin{aligned} \frac{1}{2}\alpha'' + \frac{1}{2}\alpha'(\log v)' + \alpha \sum_{i=1}^r n_i \left( \frac{\beta_i''}{\beta_i} - \frac{1}{2} \left( \frac{\beta_i'}{\beta_i} \right)^2 \right) - \alpha\varphi'' - \frac{1}{2}\alpha'\varphi' &= \frac{\epsilon}{2}, \\ \frac{1}{2}\alpha'' + \frac{1}{2}\alpha'(\log v)' - \frac{1}{2}\alpha'\varphi' - \frac{\alpha}{2} \sum_{i=1}^r \frac{n_i q_i^2}{\beta_i^2} &= \frac{\epsilon}{2}, \\ \frac{\alpha' \beta_i'}{2 \beta_i} + \frac{\alpha}{2} \left( \frac{\beta_i''}{\beta_i} - \left( \frac{\beta_i'}{\beta_i} \right)^2 \right) + \frac{\alpha \beta_i'}{2 \beta_i} (\log v)' - \frac{\alpha \beta_i'}{2 \beta_i} \varphi' - \frac{p_i}{\beta_i} + \frac{q_i^2 \alpha}{2 \beta_i^2} &= \frac{\epsilon}{2}. \end{aligned}$$

where  $v = \prod_{i=1}^r \beta_i^{n_i}$  (vol of orbit)



Observe these imply:

$$\phi'' = \sum_{i=1}^r n_i \left( \frac{\beta_i''}{\beta_i} - \frac{1}{2} \left( \frac{\beta_i'}{\beta_i} \right)^2 + \frac{1}{2} \frac{q_i^2}{\beta_i^2} \right).$$

Look for solutions with each bracket zero, so  $\phi$  linear in  $s$ .

Kähler ansatz:

$$\beta_i(s) = -q_i(s + \sigma_i)$$

Equations now exactly solvable:

Special orbits: collapse circle fibre

or higher-dim sphere (if one base factor is  $\mathbb{C}P^n$ ).

(i) Steady solitons on bundles (rank  $\geq 1$ )

Asymptotic to cigar-paraboloid

(ii) Expanding solitons on bundles of rank  $\geq 1$

Asymptotically conical

(iii) Shrinking solitons on bundles of rank  $\geq 1$

Asymptotically conical

(iv) Compact shrinking solitons (blow-downs)

Other examples :

hypersurface circle bundle over generalised flag variety.

Same types of examples as before.

## Non-Kähler examples?

Multiple warped products

$$dt^2 + \sum_{i=1}^r g_i^2(t) h_i$$

Open set is

$$(a, b) \times M_1 \times \dots \times M_r$$

with possible collapse at one or both ends.

$(M_i, h_i)$  are Einstein with positive scalar curvature.

Generalisation of Bryant-Ivey examples.

Consider steady case.

Equations in suitable coordinates

$$X'_i = X_i \left( \sum_{j=1}^r X_j^2 - 1 \right) + \frac{Y_i^2}{\sqrt{d_i}},$$

$$Y'_i = Y_i \left( \sum_{j=1}^r X_j^2 - \frac{X_i}{\sqrt{d_i}} \right).$$

Have Lyapunov function

$$\mathcal{L} = \sum_{j=1}^r (X_j^2 + Y_j^2) - 1$$

$$\mathcal{L}' = 2\mathcal{L} \left( \sum_{j=1}^r X_j^2 \right)$$

Pick trajectory in unstable manifold of critical point on unit sphere, flowing into unit ball.

Must establish several limits to ensure metric is  $C^3$ . Then regularity theory gives smoothness at critical point (sphere  $M_1$  collapses)

Analysis of  $\omega$ -limit set and Lyapunov shows trajectory converges to origin.

Obtain complete steady soliton on vector bundle over  $M_2 \times \dots \times M_r$ .

Asymptotically paraboloid

$$\sim dt^2 + t\mathbf{h}$$

Ricci is nonnegative.