

# Geometry of moduli spaces of Higgs bundles

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XIX International Fall Workshop on Geometry and Physics  
Porto, September 7, 2010

# Why Higgs Bundles?

- ▶ Hyper-Kähler manifolds.
- ▶ Integrable systems: the Hitchin system.
- ▶ Mirror symmetry and geometric Langlands.
- ▶ Non-abelian Hodge theory.
- ▶ Geometric structures and representations of fundamental groups.
- ▶ ...

## Today:

- ▶ Higgs bundles on Riemann surfaces (rather than higher dimensional complex varieties);
- ▶ *G*-Higgs bundles, where *G* is real Lie group.

## What is a Higgs bundle?

- ▶  $X$  – closed Riemann surface of genus  $g$ ;
- ▶  $K_X = T^{*1,0}X$  – the holomorphic cotangent bundle of  $X$ .

### Definition

A *Higgs bundle* on  $X$  is a pair  $(E, \phi)$ , where

- ▶  $E \rightarrow X$  is holomorphic vector bundle;
- ▶  $\phi$  is a holomorphic 1-form with values in  $\text{End}(E)$ , i.e.,  
 $\phi \in H^0(X, \text{End}(E) \otimes K_X)$ .

Discrete invariants:  $d = \text{deg}(E)$ ,  $n = \text{rk}(E)$ .

### Example

A rank one Higgs bundle is a pair  $(L, \alpha)$ , where  $L \rightarrow X$  is a line bundle and  $\alpha \in H^0(X, K_X)$  is a holomorphic 1-form.

## Abelian Hodge Theory

Harmonic theory shows that  $H^1(X, \mathbb{C}) \cong H^{1,0}(X) \oplus H^{0,1}(X)$ .

In fact, this is the infinitesimal version of an isomorphism

$$H^1(X, \mathbb{C}^*) \cong T^* \text{Jac}(X) :$$

a flat complex line bundle  $\mathcal{L}$  is given by a closed  $B \in A^1(X, \mathbb{C})$  which, after a gauge transformation, has harmonic  $(1, 0)$  and  $(0, 1)$ -parts.

Using  $\mathbb{C} = \mathfrak{gl}(1, \mathbb{C}) = \mathfrak{u}(1) \oplus \mathbb{R}$ , write

$$B = A + \theta, \quad A \in A^1(X, i\mathbb{R}) \quad \text{and} \quad \theta \in A^1(X, \mathbb{R}),$$

then  $d_A \theta = 0 \iff \bar{\partial}_A \theta^{1,0} = 0$ , so we obtain a rank 1 Higgs bundle:

- ▶  $\bar{\partial}_A$  defines a *holomorphic line bundle*  $L_A$ .
- ▶  $\alpha := \theta^{1,0} \in H^{1,0}(X) \cong H^0(X, K_X)$  is the *Higgs field*.

**Note:**

- ▶  $T^* \text{Jac}(X) \ni (L_A, \alpha)$  is the moduli space of rank 1, degree 0 Higgs bundles.
- ▶  $H^1(X, \mathbb{C}^*) \cong \text{Hom}(\pi_1 X, \mathbb{C}^*)$ .

# Non-abelian Hodge Theory — 1

- ▶ Let  $\mathcal{E} \rightarrow X$  be a fixed  $C^\infty$  complex vector bundle of rank  $n$  and degree  $d$ .

## Theorem (Donaldson, Corlette)

Let  $B$  be a flat reductive connection in  $\mathcal{E}$ . Then there exists a **harmonic metric** in  $\mathcal{E}$ , meaning the following:

Writing  $B = A + \theta$ , where  $A$  is a unitary connection and  $\theta$  is a self adjoint 1-form, define  $\phi \in H^0(X, \text{End}(\mathcal{E}) \otimes K_X)$  by  $\theta = \phi + \phi^*$ . Then  $(A, \phi)$  satisfies **Hitchin's equations**:

$$F(A) + [\phi, \phi^*] = 0.$$

$$\bar{\partial}_A \phi = 0.$$

**Note:** Let  $E = E_A \rightarrow X$  be the holomorphic bundle given by the operator  $\bar{\partial}_A$  on  $\mathcal{E}$ . Then  $(E_A, \phi)$  is a Higgs bundle which is *polystable* in the following sense:

# Non-abelian Hodge Theory — 2

## Definition

The *slope* of  $E$  is  $\mu(E) = \deg(E)/\text{rk}(E) = n/d$ . A Higgs bundle  $(E, \phi)$  is

- ▶ *semistable* if  $\mu(F) \leq \mu(E)$  for all  $F \subset E$  such that  $\phi(F) \subset F \otimes K_X$ .
- ▶ *stable* if  $\mu(F) < \mu(E)$  for all  $0 \neq F \subsetneq E$  such that  $\phi(F) \subset F \otimes K_X$ .
- ▶ *polystable* if  $(E, \phi) = (E_1, \phi_1) \oplus \cdots \oplus (E_r, \phi_r)$ , where each  $(E_i, \phi_i)$  is stable with  $\mu(E_i) = \mu(E)$ .

## Theorem (Hitchin, Simpson)

$(E, \phi)$  is polystable iff there exists a hermitean (harmonic!) metric in  $E$  such that  $(A, \phi)$  satisfies Hitchin's equations ( $A$  is the Chern connection).

# Non-abelian Hodge Theory — 3

## Notation:

- ▶  $\mathcal{M}^d(X, \mathrm{GL}(n, \mathbb{C}))$  — moduli space of rank  $n$ , degree  $d$  Higgs bundles.
- ▶  $\mathcal{M}_{\mathrm{dR}}^d(X, \mathrm{GL}(n, \mathbb{C}))$  — moduli space of (projectively) flat *reductive* connections on  $\mathcal{E}$  modulo gauge equivalence.

Together, the two preceding theorems imply:

## Theorem (Non-abelian Hodge Theorem)

There is a homeomorphism  $\mathcal{M}_{\mathrm{dR}}^d(X, \mathrm{GL}(n, \mathbb{C})) \cong \mathcal{M}^d(X, \mathrm{GL}(n, \mathbb{C}))$ .

## Note:

$\mathcal{M}_{\mathrm{dR}}^0(X, \mathrm{GL}(n, \mathbb{C})) \cong \mathrm{Hom}^{\mathrm{reductive}}(\pi_1(X), \mathrm{GL}(n, \mathbb{C}))/\mathrm{GL}(n, \mathbb{C})$ .

(For non-zero values of  $d$ , use representations of a central extension of  $\pi_1(X)$ .)

## G-Higgs bundles

$G$ -Higgs bundles are introduced in order to study representations of  $\pi_1(X)$  in groups other than  $GL(n, \mathbb{C})$ .

- ▶  $G$  — real reductive Lie group; maximal compact subgroup  $H \subset G$ .
- ▶ Cartan decomposition:  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{m}$ .
- ▶ Restriction of adjoint action gives *isotropy representation*:  $\iota: H \rightarrow \mathfrak{m}$ .

### Definition

A  $G$ -Higgs bundle is a pair  $(E, \phi)$ , where

- ▶  $E \rightarrow X$  is a holomorphic principal  $H^{\mathbb{C}}$ -bundle,
- ▶  $\phi \in H^0(X, E(\mathfrak{m}^{\mathbb{C}}) \otimes K_X)$ . Here  $E(\mathfrak{m}^{\mathbb{C}}) = E \times_{\iota} \mathfrak{m}^{\mathbb{C}}$ .

### Example

$G = GL(n, \mathbb{C})$ . A  $G$ -Higgs bundle is a Higgs bundle as previously defined.



## More examples of $G$ -Higgs bundles

- ▶  $G = \mathrm{Sp}(2n, \mathbb{R})$ . In this case:  $H = \mathrm{U}(n)$  and  $\mathfrak{m}^{\mathbb{C}} = S^2\mathbb{C}^n \oplus S^2(\mathbb{C}^n)^*$ . Hence a  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundle is given by  $(V, \beta, \gamma)$ , where  $V$  is a rank  $n$  vector bundle and

$$\beta \in H^0(X, S^2V \otimes K_X), \quad \gamma \in H^0(X, S^2V^* \otimes K_X).$$

The usual Higgs bundle given by the inclusion  $\mathrm{Sp}(2n, \mathbb{R}) \subset \mathrm{Sp}(2n, \mathbb{C}) \subset \mathrm{GL}(2n, \mathbb{C})$  is:

$$(E = V \oplus V^*, \phi = \begin{pmatrix} 0 & \beta \\ \gamma & 0 \end{pmatrix}).$$

- ▶ A  $\mathrm{U}(p, q)$ -Higgs bundle is given by  $(E = V \oplus W, \phi = \begin{pmatrix} 0 & \beta \\ \gamma & 0 \end{pmatrix})$ .
- ▶ A  $\mathrm{GL}(n, \mathbb{R})$ -Higgs bundle is given by  $(W, Q, \theta)$ , where  $(W, Q)$  is an orthogonal bundle and  $\theta: W \rightarrow W \otimes K_X$  is symmetric with respect to  $Q$ .

# Stability and non-abelian Hodge Theorem for G-Higgs bundles

*Stability of G-Higgs bundles* is in general a complicated notion. But when the complexification  $G^{\mathbb{C}} \subset \mathrm{GL}(n, \mathbb{C})$  is a linear group, polystability of  $(E, \phi)$  is equivalent to polystability of the induced rank  $n$  usual Higgs bundle.

## Theorem (Non-abelian Hodge Theorem)

*There is a homeomorphism  $\mathcal{M}_{\mathrm{dR}}^d(X, G) \cong \mathcal{M}^d(X, G)$ , where  $\mathcal{M}_{\mathrm{dR}}^d(X, G)$  is the moduli space of gauge equivalence classes of projectively flat G-bundles and  $\mathcal{M}^d(X, G)$  is the moduli space of polystable G-Higgs bundles.*

### Notes:

- ▶  $d$  is the topological class of the fixed underlying smooth principal bundle; if  $G$  is connected then  $d \in \pi_1(G)$ .
- ▶  $\mathcal{M}_{\mathrm{dR}}^0(X, G) \cong \mathrm{Hom}^{\mathrm{reductive}}(\pi_1(X), G)/G$ .

## Topological bounds for stable $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles

Let  $(V, \beta, \gamma)$  be a  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundle, i.e.,

- ▶  $V \rightarrow X$  is a rank  $n$  vector bundle;
- ▶  $\beta \in H^0(X, S^2 V \otimes K_X)$ ,  $\gamma \in H^0(X, S^2 V^* \otimes K_X)$ .

Let  $N = \ker(\gamma) \subset V$  and let  $I \subset V^*$  be the saturation of  $\mathrm{im}(\gamma) \otimes K_X^{-1}$ .  
Then

$$N \subset V \oplus V^* \quad \text{and} \quad V \oplus I \subset V \oplus V^*$$

are  $\Phi$ -invariant subbundles of  $(E = V \oplus V^*, \Phi = \begin{pmatrix} \beta & 0 \\ 0 & \gamma \end{pmatrix})$ .

Applying the semistability condition one obtains:

### Proposition

*Let  $(V, \beta, \gamma)$  be a semistable  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundle. Then  $d \leq n(g-1)$ .*

*Moreover, if  $d = n(g-1)$  then  $\gamma: V \rightarrow V^* \otimes K_X$  is an isomorphism.  $\square$*

*(Analogously, considering  $\beta$ , one obtains  $-d \leq n(g-1)$ .)*

## Topological bounds for representations:

### Milnor–Wood inequality

The degree  $d = \deg(V)$  is just the topological degree of the flat  $\mathrm{Sp}(2n, \mathbb{R})$ -bundle associated via the Non-abelian Hodge Theorem.

In terms of the corresponding representation  $\rho: \pi_1(X) \rightarrow \mathrm{Sp}(2n, \mathbb{R})$ , this number is known as the *Toledo invariant* and the inequality

$$|\tau(\rho)| \leq n(g - 1)$$

is known as the *Milnor–Wood inequality* [Milnor ( $n = 1$ ), Dupont, Turaev].

#### Definition

$\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles for which equality holds – and the corresponding representations of  $\pi_1(X)$  – are said to be **maximal**.

#### Remark

Generalizations to other isometry groups of hermitean symmetric spaces (of non-compact type) exist.

## Relation with Fuchsian representations

### Theorem (Goldman 1980)

$\rho: \pi_1 X \rightarrow \mathrm{Sp}(2, \mathbb{R})$  is maximal if and only if it is Fuchsian, i.e., discrete and faithful

**Recall:** a Fuchsian representation induces a hyperbolic structure on  $X$ :

$$\mathbb{H}^2 \rightarrow X = \mathbb{H}^2 / \pi_1 X,$$

And *Fricke space* is the space of all such representations:

$$\mathfrak{F} = \{[\rho] \in \mathcal{R}(\pi_1 X, \mathrm{PSp}(2, \mathbb{R})) \mid \rho \text{ is Fuchsian}\}.$$

### Remark

(1)  $\mathrm{Isom}(\mathbb{H}^2) \cong \mathrm{PSp}(2, \mathbb{R}) = \mathrm{Sp}(2, \mathbb{R}) / \{\pm 1\}$ ;

any Fuchsian  $\rho: \pi_1 X \rightarrow \mathrm{PSp}(2, \mathbb{R})$  lifts to  $\mathrm{Sp}(2, \mathbb{R})$  and there are  $2^{2g}$  such lifts, each corresponding to the choice of a spin structure  $s$  on  $X$ .

(2) By the Uniformization Theorem,  $\mathfrak{F}$  can be identified with the Teichmüller space of the Riemann surface  $X$ .

## Maximal $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles

Assume  $d = n(g - 1)$  so  $\gamma: V \xrightarrow{\cong} V^* \otimes K_X$ .

Define

- ▶  $W = V \otimes K_X^{-1/2}$ ,
- ▶  $Q = \gamma \otimes 1_{K_X^{-1/2}}: W \xrightarrow{\cong} W^*$ , and
- ▶  $\theta = (\beta \otimes 1) \circ (\gamma \otimes 1): W \rightarrow W \otimes K_X^2$ .

Then  $Q$  is a non-degenerate quadratic form on  $W$  and  $\theta$  is symmetric with respect to  $Q$ . Hence:

$(W, Q, \theta)$  is a *twisted*  $\mathrm{GL}(n, \mathbb{R})$ -Higgs bundle — the difference to a usual  $\mathrm{GL}(n, \mathbb{R})$ -Higgs bundle being the twisting by  $K_X^2$  rather than  $K_X$ .

## New invariants

Recall that  $(W, Q)$  is an orthogonal bundle.

$\implies$  **New invariants** of representations /  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles:

- ▶  $w_1(V, \beta, \gamma) \in H^1(X, \mathbb{Z}/2)$ .
- ▶  $w_2(V, \beta, \gamma) \in H^2(X, \mathbb{Z}/2)$ .

### Remark

- ▶ In the case  $n = 1$ :  $w_2 = 0$ .
- ▶ In the case  $n = 2$ : when  $w_1 = 0$ , there is a lift of  $w_2$  to  $c \in H^2(X, \mathbb{Z})$ , satisfying  $|c| \leq 2g - 2$ .

**Notation:** Let  $\mathcal{M}^d := \mathcal{M}^d(X, \mathrm{Sp}(2n, \mathbb{R}))$  be the moduli space of  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles with  $\deg(V) = d$  and let  $\mathcal{M}^{\max} := \mathcal{M}^{n(g-1)}$ .

## Connected components of $\mathcal{M}^{\max}$ ( $n = 1$ )

The following was proved in terms of representations of  $\pi_1(X)$ :

**Theorem (Goldman 1988)**

*In the case  $n = 1$ , the connected components of  $\mathcal{M}^{\max}$  are the subspaces*

$$\mathcal{M}_{w_1} \subset \mathcal{M}^{\max}, \quad w_1 \in H^1(X, \mathbb{Z}/2),$$

*of Higgs bundles having invariant  $w_1$ .*



### Remark

These components become identified in the moduli space of  $\mathrm{PSp}(2, \mathbb{R})$ -Higgs bundles and are all homeomorphic to the Fricke (Teichmüller) space  $\mathfrak{F}$ .

In particular each component  $\mathcal{M}_{w_1}$  is homeomorphic to  $\mathbb{R}^{6g-6}$ .



## Goldman's theorems following Hitchin

Let  $(L, \beta, \gamma)$  be a maximal  $\mathrm{Sp}(2, \mathbb{R})$ -Higgs bundle. Then

- ▶  $L \rightarrow X$  is a line bundle of degree  $d = g - 1$  and
- ▶  $\gamma \in H^0(X, L^{-2}K_X)$  is a non-vanishing section.

Hence  $L^{-2}K_X \cong \mathcal{O}_X$ , i.e.,  $L$  is a spin structure.

This spin structure is the one given by the invariant  $w_1(L, \beta, \gamma)$ .

Note that  $L^2K_X = K_X^2$ .

Thus, for any  $w_1 \in H^1(X, \mathbb{Z}/2)$ ,

$$\mathcal{M}_{w_1} = \{\beta \in H^0(X, L^2K_X)\} = H^0(X, K_X^2).$$

## Hitchin components

In analogy with the parametrization  $\mathcal{M}_{w_1} \cong H^0(X, K_X^2)$ , Hitchin showed the existence of special connected **Hitchin components**

$$\mathcal{M}^H \subset \mathcal{M}(X, G),$$

when  $G$  is a split real form of a simple complex group (classical examples:  $G = \mathrm{SL}(n, \mathbb{R}), \mathrm{Sp}(2n, \mathbb{R}), \mathrm{SO}(n, n), \mathrm{SO}(n+1, n)$ ).

Hitchin components are parametrized by

$$\mathcal{M}^H \cong \bigoplus H^0(X, K_X^{m_i+1})$$

In the case  $G = \mathrm{Sp}(2n, \mathbb{R})$ :

- ▶ Hitchin components are maximal;
- ▶ Under the correspondence with representations  $\rho: \pi_1(X) \rightarrow \mathrm{Sp}(2n, \mathbb{R})$  is a *Hitchin representation* if and only if it can be deformed to a representation of the form  $r_{\mathrm{irr}} \circ \rho_0$  with  $\rho_0$  Fuchsian.  
(Here  $r_{\mathrm{irr}}: \mathrm{Sp}(2, \mathbb{R}) \rightarrow \mathrm{Sp}(2n, \mathbb{R})$  is the irreducible representation given by the action on homogeneous polynomials of degree  $2n - 1$ .)

## Connected components of $\mathcal{M}^{\max}$ for $n \geq 2$

Recall the invariants of maximal  $\mathrm{Sp}(2n, \mathbb{R})$ -Higgs bundles described earlier:

- ▶  $w_1(V, \beta, \gamma) \in H^1(X, \mathbb{Z}/2)$ ,  $w_2(V, \beta, \gamma) \in H^2(X, \mathbb{Z}/2)$ .
- ▶ In the case  $n = 2$ : when  $w_1 = 0$ , there is a lift of  $w_2$  to  $c \in H^2(X, \mathbb{Z})$ , satisfying  $|c| \leq 2g - 2$ .

Moreover, it turns out that there are  $2^{2g}$  Hitchin components  $\mathcal{M}_s^H$  of  $\mathcal{M}^{\max}$ , indexed by spin structures  $s \in H^1(X, \mathbb{Z}/2)$ .

### Theorem (G., García-Prada–G.–Mundet i Riera)

*For  $n = 2$ , the decomposition in connected components of  $\mathcal{M}^{\max}$  is*

$$\mathcal{M}^{\max} = \bigcup_{w_1 \neq 0, w_2} \mathcal{M}_{w_1, w_2} \cup \bigcup_{0 \leq c < 2g-2} \mathcal{M}_{0, c} \cup \bigcup_s \mathcal{M}_s^H.$$

*For  $n \geq 3$ , the decomposition in connected components of  $\mathcal{M}^{\max}$  is*

$$\mathcal{M}^{\max} = \bigcup_{w_1, w_2} \mathcal{M}_{w_1, w_2} \cup \bigcup_s \mathcal{M}_s^H.$$

## Components of $\mathcal{M}^{\max}(X, G)$

A lot of the preceding can be generalized to isometry groups of (classical) hermitean symmetric spaces of non-compact type.

Again, new invariants permit the detection of “extra” connected components of  $\mathcal{M}^{\max}(G)$ .

### Theorem (Bradlow–García-Prada-G., García-Prada-G.–Mundet)

*The number of connected components of  $\mathcal{M}^{\max}(X, G)$  is given by the following table:*

$G$	$\#\pi_0(\mathcal{R}_{\max}(G))$	Hitchin Components
$SU(n, n)$	$2^{2g}$	– ( $2^{2g}$ if $n = 1$ )
$SU(p, q)$ ( $p \neq q$ )	1	–
$Sp(2n, \mathbb{R})$ ( $n \geq 3$ )	$3 \cdot 2^{2g}$	$2^{2g}$
$SO_0(2, n)$ ( $n \geq 4$ )	$2^{2g+1}$	–
$SO^*(2n)$	1	–

## Comments on the Theorem

- ▶ The Theorem contains important special cases, due to Goldman, Hitchin, Markman–Xia, Xia.
- ▶ Special low dimensional cases:

$G$	$\#\pi_0(\mathcal{R}_{\max}(G))$	Hitchin Components
$\mathrm{Sp}(4, \mathbb{R}) \cong \mathrm{Spin}_0(2, 3)$	$3 \cdot 2^{2g} + 2g - 4$	$2^{2g}$
$\mathrm{SO}_0(2, 3)$	$2^{2g+1} + 4g - 5$	1
$\mathrm{Sp}(2, \mathbb{R}) \cong \mathrm{SL}(2, \mathbb{R})$	$2^{2g}$	$2^{2g}$
$\mathrm{SO}_0(2, 1) \cong \mathrm{PSL}(2, \mathbb{R})$	1	1

- ▶ Representations in  $\mathcal{M}^{\max}(X, G)$  have special geometric properties. For example:
  - ▶ Maximal representations are discrete, faithful and reductive [Burger–Iozzi–Wienhard 2003].
  - ▶ The action of the mapping class group on  $\mathcal{R}_{\max}(\pi_1 X, \mathrm{Sp}(2n, \mathbb{R}))$  is proper [Labourie 2005, Wienhard 2006].

# Deformation of maximal representations in $\mathrm{Sp}(4, \mathbb{R})$

**Question [W. Goldman]:** When can a maximal  $\mathrm{Sp}(2n, \mathbb{R})$ -representation of a surface group be deformed to a representation which factors through a proper reductive subgroup  $G_*$  of  $\mathrm{Sp}(2n, \mathbb{R})$ ?

Consider the following representations:

- ▶  $r_{\mathrm{irr}}: \mathrm{Sp}(2, \mathbb{R}) \rightarrow \mathrm{Sp}(4, \mathbb{R})$  — the irreducible representation.
- ▶  $r_{\mathrm{p}}: \mathrm{Sp}(2, \mathbb{R}) \times \mathrm{Sp}(2, \mathbb{R}) \rightarrow \mathrm{Sp}(4, \mathbb{R})$  — the product representation.
- ▶  $r_{\Delta}: \mathrm{Sp}(2, \mathbb{R}) \rightarrow \mathrm{Sp}(4, \mathbb{R})$  — the diagonal representation.

Let  $G_* = N_{\mathrm{Sp}(4, \mathbb{R})}(\mathrm{image}(r_*))$  for  $* = \mathrm{irr}, \mathrm{p}, \Delta$ .

Deformation of maximal representations in  $\mathrm{Sp}(4, \mathbb{R}) - 2$ 

## Theorem (Bradlow–García-Prada–G.)

Let  $\rho: \pi_1 X \rightarrow \mathrm{Sp}(4, \mathbb{R})$  be a maximal representation. Then  $\rho$  can be deformed to a representation which factors through

- ▶  $G_{irr}$  if and only if  $\rho \in \mathcal{M}_S^H$ ;
- ▶  $G_p$  if and only if  $\rho \in \mathcal{M}_{w_1, w_2}$  or  $\mathcal{M}_{0,0}$ ;
- ▶  $G_\Delta$  if and only if  $\rho \in \mathcal{M}_{w_1, w_2}$  or  $\mathcal{M}_{0,0}$ .

## Remark

(1) Guichard–Wienhard have recently constructed “model representations” in  $\mathcal{M}_{0,c}$  by amalgamating  $r_{irr}$  and  $r_\Delta$  on separate parts of the surface  $X$ .

(2) Let  $n \geq 3$ . Then any maximal representation of  $\pi_1(S)$  in  $\mathrm{Sp}(2n, \mathbb{R})$  can be deformed to one which factors through a proper reductive Zariski closed subgroup of  $\mathrm{Sp}(2n, \mathbb{R})$ .

## Sketch of proof

**Idea:** Analyse  $G_*$ -Higgs bundles and see where they live. Example:

$$G_p = N_{\mathrm{Sp}(4, \mathbb{R})}(\mathrm{Sp}(2, \mathbb{R}) \times \mathrm{Sp}(2, \mathbb{R})) = (\mathrm{Sp}(2, \mathbb{R}) \times \mathrm{Sp}(2, \mathbb{R})) \rtimes \mathbb{Z}/2,$$

where  $\mathbb{Z}/2 \cong \left\{ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\}$  and  $H_p = (\mathrm{U}(1) \times \mathrm{U}(1)) \rtimes \mathbb{Z}/2$ .

If a maximal  $G_p$ -Higgs bundle  $(V, \beta, \gamma)$  reduces to  $\mathrm{Sp}(2, \mathbb{R}) \times \mathrm{Sp}(2, \mathbb{R})$  it is of the form

$$V = L_1 \oplus L_2, \quad L_i^2 = K_X, \quad \beta = \begin{pmatrix} \beta_1 & 0 \\ 0 & \beta_2 \end{pmatrix}, \quad \gamma = \begin{pmatrix} \gamma_1 & 0 \\ 0 & \gamma_2 \end{pmatrix},$$

where  $\beta_i \in H^0(X, L_i^2 \otimes K_X)$  and  $\gamma_i = 1 \in (X, L_i^{-2} \otimes K_X)$ .

It follows that  $\mathcal{M}_{w_1, w_2}$  and  $\mathcal{M}_{0,0}$  are the components containing  $\mathrm{Sp}(2, \mathbb{R}) \times \mathrm{Sp}(2, \mathbb{R})$ -Higgs bundles.

If there is no such reduction, the obstruction defines a double cover  $X' \rightarrow X$  on which  $(V, \beta, \gamma)$  reduces: this gives the desired conclusion.



## Some further reading

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