

# Counting Real Singularities

## Workshop Teoria Algébrica de Singularidades

Isabel S. Labouriau  
CMUP  
Porto, Portugal

Maria Aparecida S.Ruas  
ICMC — USP  
S. Carlos, SP Brasil

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## Setting

Example

## Upper bounds

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## Computability

Example

Counting by  
topological degreeComputing the  
degree

## Estimates

## Extensions

## Set-up

$f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$  smooth map-germ  
finitely determined corank 1 under  $\mathcal{A}$ -equivalence

general form  $f(x, y) = (x, g(x, y))$   $x \in \mathbf{R}^{n-1}$

Stabilisation of  $f$ 

a map-germ  $F : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$  of the form

$F(t, x, y) = (t, x, G(x, y, t))$  with  $G(x, y, 0) = g(x, y)$

such that for  $t \neq 0$  the only singularities of  
 $F^t(x, y) = F(t, x, y)$  are of type  $A_j$ ,  $j \leq n$ .

## Aim

Obtain the number of  $A_n$ -singularities in a stabilisation of  $f$ .

## Simple example

$$f : \mathbf{R}^2, 0 \longrightarrow \mathbf{R}^2, 0$$

$$f(x, y) = (x, g(x, y)) \quad \text{where} \quad g(x, y) = y^4 + xy$$

## Stabilisation

$$F(t, x, y) = (t, x, y^4 + xy - ty^2)$$

## Path formulation — Example $(x, y) \mapsto (x, y^4)$

Versal unfolding

$$(x, y) \mapsto (x, y^4 + u_1 y - u_2 y^2)$$

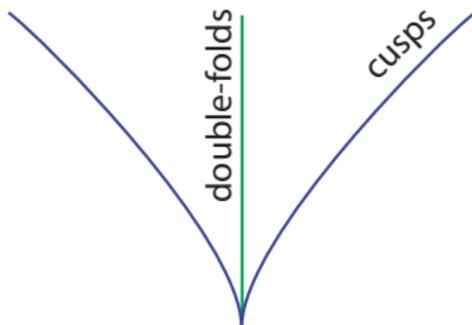
Bifurcation set

$$27u_1^2 - 8u_2^3 = 0$$

cusps  $A_2$

$$u_1 = 0 \quad u_2 > 0$$

double-fold (2  $A_1$ , same value)



## Path formulation — Example $(x, y) \mapsto (x, y^4)$

map-germ

$$(x, y^4 + xy)$$

stabilisation

$$F^t = (x, y^4 + xy - ty^2)$$

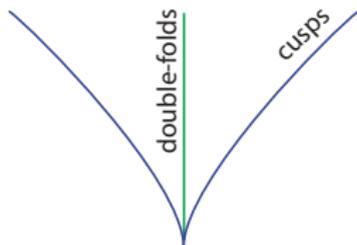
path

$$p(x) = (u_1, u_2) = (x, 0)$$

$$P(x) = (u_1, u_2) = (x, t)$$

$\longleftrightarrow$

$\longleftrightarrow$



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## Path formulation — Example $(x, y) \mapsto (x, y^4)$

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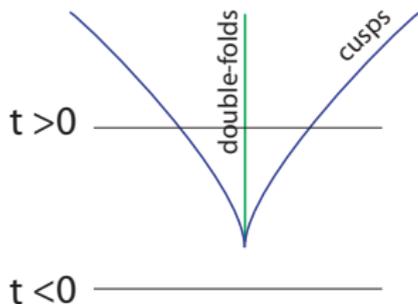
stabilisation

$$F^t = (x, y^4 + xy - ty^2)$$

path

$$\longleftrightarrow p(x) = (u_1, u_2) = (x, 0)$$

$$\longleftrightarrow P(x) = (u_1, u_2) = (x, t)$$



## Path formulation — Example $(x, y) \mapsto (x, y^4)$

map-germ

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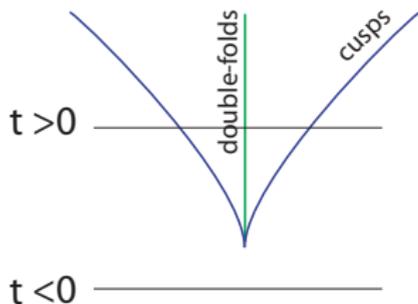
stabilisation

$$F^t = (x, y^4 + xy - ty^2)$$

path

$$p(x) = (u_1, u_2) = (x, 0)$$

$$P(x) = (u_1, u_2) = (x, t)$$



For  $t < 0$  two cusps and one double-fold

For  $t > 0$  no cusps, no folds.

## Upper bounds — well known results:

I.S. Labouriau,  
M.A.S. Ruas

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Given  $f(x, y) = (x, g(x, y))$ , consider the ideal

$$S(f) = \left\langle \frac{\partial g}{\partial y}(x, y) \quad \dots \quad \frac{\partial^n g}{\partial y^n}(x, y) \right\rangle_{\mathcal{E}_{x,y}} \subset \mathcal{E}_{x,y}$$

### Lemma

*For a finitely determined map-germ  $f : \mathbf{R}^n, 0 \rightarrow \mathbf{R}^n, 0$  of corank 1,  $S(f)$  has finite codimension.*

## Upper bounds — well known results:

I.S. Labouriau,  
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$$\mathcal{S}(f) = \left\langle \frac{\partial g}{\partial y}(x, y) \quad \dots \quad \frac{\partial^n g}{\partial y^n}(x, y) \right\rangle_{\mathcal{E}_{x,y}} \subset \mathcal{E}_{x,y}$$

Algebraic number of  $A_n$  singularities

$$\sigma(f) = \text{cod}_{\mathcal{E}_{x,y}}(\mathcal{S}(f))$$

## Theorem

*For a finitely determined map-germ  $f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$  of corank 1, the number  $\sigma(f)$  is an invariant for  $\mathcal{A}$ -equivalence giving the number of  $A_n$ -singularities appearing in a stable deformation of the complexification of  $f$ .*

$F(t, x, y) = (t, x, G(x, y, t))$  a stabilisation of  $f$ .

$$\frac{\partial G}{\partial y}(x, y, t) = 0 \quad \dots \quad \frac{\partial^n G}{\partial y^n}(x, y, t) = 0 \quad (1)$$

Algebraic number of  $A_n$  singularities

$$\sigma(f) = \text{cod}_{\mathcal{E}_{x,y}}(\mathcal{S}(f))$$

counts simple solutions  $(x, y) \in \mathbf{C}^n$  of (1) for  $t \neq 0$

Effective number of  $A_n$  singularities

$$\mathbf{s}_+(F)$$

$$t > 0$$

$\mathbf{s}_+(F)$  and  $\mathbf{s}_-(F)$  usually depend on the choice of  $F$ .

$$\mathbf{s}_+(F) \text{ and } \mathbf{s}_-(F) \leq \sigma(f).$$

$$\mathbf{s}_-(F)$$

$$t < 0.$$

counts simple solutions  $(x, y) \in \mathbf{R}^n$  of (1) for

## Simple example

$$f : \mathbf{R}^2, 0 \longrightarrow \mathbf{R}^2, 0$$

$$f(x, y) = (x, g(x, y)) \quad \text{where} \quad g(x, y) = y^4 + xy$$

## Algebraic number of $A_n$ singularities

$$\mathcal{S}(f) = \langle 4y^3 + x, y^2 \rangle_{\mathcal{E}_{x,y}} \subset \mathcal{E}_{x,y}$$

$$\sigma(f) = \text{cod}_{\mathcal{E}_{x,y}}(\mathcal{S}(f)) = 2$$

## Effective number of $A_n$ singularities

Stabilisation  $F(t, x, y) = (t, x, y^4 + xy - ty^2)$

From path formulation:

$$\mathbf{s}_+(F) = 2 \quad \mathbf{s}_-(F) = 0$$

Given  $f(x, y)$  smooth, finitely determined, corank 1.

## Computability

Given  $F(t, x, y)$  a stabilisation,  
obtain a general method for computing  $\mathbf{s}_+(F)$ .

## Maximality

Show that there is a stabilisation  $F(t, x, y)$  for which  
 $\mathbf{s}_+(F) = \sigma(f)$ .

or, failing this,

## Geometric number of $A_n$ singularities

compute  $\mathbf{s}_{max}(f)$  the maximum value of  $\mathbf{s}_+(F)$  for any  
stabilisation  $F$  of  $f$ .

This is work in progress!

Given  $f(x, y)$  smooth, finitely determined, corank 1.

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compute  $\mathbf{s}_{max}(f)$  the maximum value of  $\mathbf{s}_+(F)$  for any  
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This is work in progress!

## Previous results on maximality

- ▶  $M$ -deformations of plane curve germs (S.M. Gusein-Zade, 1974; N. ACampo, 1975);
- ▶ Deformations of  $\mathcal{K}$ -simple map-germs (J. Damon & A. Galligo, 1976);
- ▶  $M$ -morsifications of real function-germs (V. I. Arnold, 1992; M.R. Entov, 1993);
- ▶ Good real perturbations (T. Cooper, D. Mond & R.W. Atique, 2002; W.L. Marar & D. Mond, 1996);
- ▶  $M$ -deformations of  $\mathcal{A}$ -simple map-germs of corank 1 (M.A.S. Ruas & J.H. Rieger, 2005).

## Counter example — maximality

$M$ - deformations of  $\mathcal{A}$ -simple map-germs of corank 2  
(M.A.S. Ruas & J.H. Rieger, 2005)

$$f(x, y) = (x^2 - y^2 + x^3, xy)$$

## Algebraic number of singularities

Any stabilisation has

3 complex cusps

2 complex double-folds

## Effective number of singularities

Any stabilisation has

3 real cusps

0 real double-folds

All known examples have the maximum number of cusps!

## Theorem

If  $F(t, x, y) = (t, x, G(x, y, t))$  is a stabilisation of  $f(x, y)$ , a smooth, finitely determined, corank 1, map-germ

$f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$ , then

- ▶  $\mathbf{s}_+(F) + \mathbf{s}_-(F) = 2 \text{ degree}(\Phi_1)$ ;
- ▶  $\mathbf{s}_+(F) - \mathbf{s}_-(F) = 2 \text{ degree}(\Phi_2)$ .

where  $\Phi_1$  and  $\Phi_2 : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^{n+1}, 0$  are

$$\Phi_1(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n}, tJ_{(x,y)}H \right)$$

$$\Phi_2(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n}, J_{(x,y)}H \right)$$

and  $H : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^n, 0$

$$J_{(x,y)}H = \det(D_{(x,y)}H)$$

$$H(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n} \right).$$

## Corollary

*If  $F$  is a stabilisation of a finitely determined map-germ  
 $f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$  of corank 1, then*

$$\mathbf{s}_+(F) \equiv \mathbf{s}_-(F) \pmod{2} .$$

## Similar methods count:

- ▶ Branches in bifurcation problems  
(T. Nishimura, T. Fukuda & K. Aoki, 1989);
- ▶ Branches in symmetric bifurcations  
(J. Damon, 1991);
- ▶ Pitchforks in symmetric bifurcations  
(S.D.S. Castro & L. 2000);
- ▶ Folds in stabilisations of bifurcation diagrams  
(L. & R. 2006).

## Simple example

$$f : \mathbf{R}^2, 0 \longrightarrow \mathbf{R}^2, 0$$

$$f(x, y) = (x, g(x, y)) \quad \text{where} \quad g(x, y) = y^4 + xy$$

$$\text{Stabilisation: } F(t, x, y) = (t, x, y^4 + xy - ty^2) = (t, x, G)$$

$$H(x, y, t) = (\partial G / \partial y, \partial^2 G / \partial y^2)$$

$$H(x, y, t) = (4y^3 + x - 2yt, 12y^2 - 2t) \quad J_{xy}H = 24y$$

$$\Phi_1 = \begin{pmatrix} 4y^3 + x - 2yt \\ 12y^2 - 2t \\ 24yt \end{pmatrix}^T \quad \Phi_2 = \begin{pmatrix} 4y^3 + x - 2yt \\ 12y^2 - 2t \\ 24y \end{pmatrix}^T$$

$$\Phi : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$$

$\eta$  a regular value of  $\Phi$

$$\text{degree}(\Phi) = \sum_{\xi \in \Phi^{-1}(\eta)} \text{sign} J\Phi(\xi) .$$

$$\Phi_2 = \begin{pmatrix} 4y^3 + x - 2yt \\ 12y^2 - 2t \\ 24y \end{pmatrix}^T \quad J_{(x,y,t)}\Phi_2 = 48$$

$$\text{degree}(\Phi_2) = 1$$

$$\Phi_1 = \begin{pmatrix} 4y^3 + x - 2yt \\ 12y^2 - 2t \\ 24yt \end{pmatrix}^T \quad J_{(x,y,t)}\Phi_1 = 24(24y^2 + 2t)$$

regular value  $(0, -2, 0)$  at  $(x, y, t) = (0, -2, 0)$

$$\text{degree}(\Phi_1) = 1$$

$\mathbf{s}_+(F) = 2 \quad \mathbf{s}_-(F) = 0$  as in path formulation.

## Theorem

If  $F(t, x, y) = (t, x, G(x, y, t))$  is a stabilisation of  $f(x, y)$ , a smooth, finitely determined, corank 1, map-germ  $f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$ , then

- ▶  $\mathbf{s}_+(F) + \mathbf{s}_-(F) = 2 \text{ degree}(\Phi_1)$ ;
- ▶  $\mathbf{s}_+(F) - \mathbf{s}_-(F) = 2 \text{ degree}(\Phi_2)$ .

where  $\Phi_1$  and  $\Phi_2 : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^{n+1}, 0$  are

$$\Phi_1(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n}, tJ_{(x,y)}H \right)$$

$$\Phi_2(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n}, J_{(x,y)}H \right)$$

and  $H : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^n, 0$

$$J_{(x,y)}H = \det(D_{(x,y)}H)$$

$$H(x, y, t) = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n} \right).$$

$F(t, x, y) = (t, x, G(x, y, t))$  a stabilisation of  $f$ .

$H : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^n$  be

$$H(x, y, t) = \left( \frac{\partial G}{\partial y}(x, y, t), \dots, \frac{\partial^n G}{\partial y^n}(x, y, t) \right) .$$

$A_n$ -singularities in  $F$  are branches of

$$\{(x, y, t) : H(x, y, t) = 0 \quad (x, y, t) \neq (0, 0, 0)\} .$$

## Counting branches (for proof)

$$H : \mathbf{R}^n \times \mathbf{R}, (0,0) \longrightarrow \mathbf{R}^n, 0$$

$$(z, t) \longrightarrow H(z, t)$$

Branches of germ of  $H^{-1}(0) - \{(0,0)\}$

$$\mathbf{r}_+(H) = \text{branches } t > 0 \qquad \mathbf{r}_-(H) = \text{branches } t < 0$$

Theorem (Nishimura, Fukuda & Aoki, 1989)

$H : \mathbf{R}^{n+1}, 0 \longrightarrow \mathbf{R}^n$  a smooth germ with

$$\dim_{\mathbf{R}} \left( \frac{\mathcal{E}_{(z,t)}}{\langle H_1, \dots, H_n, J_z H \rangle} \right) < \infty$$

Then

- ▶  $\mathbf{r}_+(H) + \mathbf{r}_-(H) = 2 \text{ degree}(H_1, \dots, H_n, tJ_z H)$ ;
- ▶  $\mathbf{r}_+(H) - \mathbf{r}_-(H) = 2 \text{ degree}(H_1, \dots, H_n, J_z H)$ .

where  $J_z H(z, t) = \det D_z H(z, t)$

## Computing the degreee

$\Phi_j : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$        $\Phi_j = (\Phi_{j,1}, \dots, \Phi_{j,n+1})$   
ring

$$Q(\Phi_j) = \frac{\mathcal{E}_{(x,y,t)}}{\langle \Phi_{j,1}, \dots, \Phi_{j,n+1} \rangle_{\mathcal{E}_{(x,y,t)}}$$

## Theorem

*If  $F$  is a stabilisation of a finitely determined map-germ  $f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$  of corank 1, then  $\mathbf{s}_+(F)$  and  $\mathbf{s}_-(F)$  are*

$$\dim_{\mathbf{R}} Q(\Phi_1) - 2\dim_{\mathbf{R}} I_1 \pm (\dim_{\mathbf{R}} Q(\Phi_2) - 2\dim_{\mathbf{R}} I_2)$$

*where each  $I_j \subset Q(\Phi_j)$  is an ideal that is maximal with respect to the property  $I_j^2 = 0$ .*

## Computing the degree (for proof)

$$\Phi : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1} \quad \Phi = (\Phi_1, \dots, \Phi_{n+1})$$

ring

$$Q(\Phi) = \frac{\mathcal{E}_{(x,y,t)}}{\langle \Phi_1, \dots, \Phi_{n+1} \rangle_{\mathcal{E}_{(x,y,t)}}}$$

## Theorem (Eisenbud & Levine 1977)

$\Phi : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$  a finite map-germ with local ring  $Q(\Phi)$ .  
If  $I \subset Q(\Phi)$  is an ideal that is maximal with respect to the property  $I^2 = 0$  then

$$|\text{degree}(\Phi)| = \dim_{\mathbf{R}}(Q(\Phi)) - 2\dim_{\mathbf{R}}(I) .$$

## Computing the degree

$\Phi_j : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$        $\Phi_j = (\Phi_{j,1}, \dots, \Phi_{j,n+1})$   
ring

$$Q(\Phi_j) = \frac{\mathcal{E}_{(x,y,t)}}{\langle \Phi_{j,1}, \dots, \Phi_{j,n+1} \rangle_{\mathcal{E}_{(x,y,t)}}$$

so  $s_j = J_{(x,y,t)} \Phi_j$

$\lambda_j : Q(\Phi_j) \longrightarrow \mathbf{R}$  linear  $l_j(s_j) > 0$ .

$L_j : Q(\Phi_j) \times Q(\Phi_j) \longrightarrow \mathbf{R}$  bilinear  $L_j(p, q) = \lambda_j(pq)$ .

## Theorem

*If  $F$  is a stabilisation of a finitely determined map-germ  $f : \mathbf{R}^n, 0 \longrightarrow \mathbf{R}^n, 0$  of corank 1, then*

$$s_+(F) = \text{signature}(L_1) + \text{signature}(L_2) .$$

## Computing the degreee (for proof)

$$\Phi : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1} \quad \Phi = (\Phi_1, \dots, \Phi_{n+1})$$

ring

$$Q(\Phi) = \frac{\mathcal{E}_{(x,y,t)}}{\langle \Phi_1, \dots, \Phi_{n+1} \rangle_{\mathcal{E}_{(x,y,t)}}$$

socle  $s = J_{(x,y,t)}\Phi$  $\lambda : Q(\Phi) \longrightarrow \mathbf{R}$  linear  $l(s) > 0$ . $L : Q(\Phi) \times Q(\Phi) \longrightarrow \mathbf{R}$  bilinear  $L(p, q) = \lambda(pq)$ .

## Theorem (Eisenbud &amp; Levine 1977)

 $\Phi : \mathbf{R}^{n+1} \longrightarrow \mathbf{R}^{n+1}$  a finite map-germ with local ring  $Q(\Phi)$ 

then

$$\text{degree}(\Phi) = \text{signature}(L) .$$

## Estimates

We had, for  $F$  a stabilisation of  $f$ :

$$\mathbf{s}_+(F), \mathbf{s}_-(F) \leq \sigma(f)$$

$$\mathbf{s}_+(F) = \text{degree}(\Phi_1) + \text{degree}(\Phi_2)$$

$$\mathbf{s}_-(F) = \text{degree}(\Phi_1) - \text{degree}(\Phi_2)$$

$$\text{degree}(\Phi_j) = \dim_{\mathbf{R}} Q(\Phi_j) - 2\dim_{\mathbf{R}} I_j < \dim_{\mathbf{R}} Q(\Phi_j)$$

## Theorem

*If  $F$  is a stabilisation of a finitely determined map-germ  $f : \mathbf{R}^n, 0 \rightarrow \mathbf{R}^n, 0$  of corank 1, then*

$$\dim_{\mathbf{R}} Q(\Phi_1) - \dim_{\mathbf{R}} Q(\Phi_2) = \sigma(f)$$

# Estimates — for proof

$$H = \left( \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n} \right) \quad Q(\Phi_1) = \frac{\mathcal{E}_{(x,y,t)}}{\langle H, tJ_{(x,y)}H \rangle}$$

from intersection multiplicity:

$$\dim_{\mathbb{R}} Q(\Phi_1) = \dim_{\mathbb{R}} \underbrace{\frac{\mathcal{E}_{(x,y,t)}}{\langle H, J_{(x,y)}H \rangle}}_{Q(\Phi_2)} + \underbrace{\dim_{\mathbb{R}} \frac{\mathcal{E}_{(x,y,t)}}{\langle H, t \rangle}}_{\sigma(f)}$$

get

$$\dim_{\mathbb{R}} Q(\Phi_1) - \dim_{\mathbb{R}} Q(\Phi_2) = \sigma(f) \quad \square$$

because  $\frac{\partial^j G}{\partial y^j}(x, y, t) \equiv \frac{\partial^n g}{\partial y^n}(x, y) \pmod{\langle t \rangle}$  implies

$$\frac{\mathcal{E}_{(x,y,t)}}{\left\langle \frac{\partial G}{\partial y}, \dots, \frac{\partial^n G}{\partial y^n}, t \right\rangle} \sim \frac{\mathcal{E}_{(x,y)}}{\left\langle \frac{\partial g}{\partial y}, \dots, \frac{\partial^n g}{\partial y^n} \right\rangle} = \frac{\mathcal{E}_{(x,y)}}{S(f)}$$

## Multisingularities

Results may be extended using formulation of  
W.L. Marar, J. Montaldi & M.A.S. Ruas, 1999

## Special situations

- ▶ weighted homogeneous
- ▶ symmetric
- ▶ dimension = 2

Go back to maximality problem!

I.S. Labouriau,  
M.A.S. Ruas

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## Estimates

## Extensions

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