# Recursive Circle Packing Problems

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**Abstract**

This paper presents a class of packing problems where circles may be placed either inside or outside other circles, the whole set being packed in a rectangle. This corresponds to a practical problem of packing tubes in a container; before being inserted in the container, tubes may be put inside other tubes in a recursive fashion. A variant of the greedy randomized adaptive search procedure is proposed for tackling this problem, and its performance assessed in a set of benchmark instances.

## 1 Introduction

Recursive circle packing problems (RCPP) has its origins in the tube industry, where shipping costs represent an important fraction of the total cost of product delivery. Tubes are produced in a continuous extraction machine and cut to the length of the container inside which they will be shipped. Before being placed in the container they may be inserted inside other, thicker tubes, so that usage of container space is maximized — a process named *telescoping*. As all the tubes occupy the full container length, maximizing container load is equivalent to maximizing the area filled with circles (or, more precisely, rings/annuli) in a section of the container.

This problem is more general than circle packing, which is known to be NP-complete (see, *e.g.*, [14]). In this paper we propose a heuristic method for tackling it, which has proved to be able to produce very good solutions for practical purposes.

The main contributions of this paper are the following:

- Presentation and formalization of new, recursive circle packing problems;

- Development of a variant of the greedy randomized adaptive search procedure (GRASP) for tackling it;
- Selection of a set of interesting and challenging benchmark instances;
- Experimental analysis of the solution method proposed.

This paper is organized as follows: in Section 2 we present a summary of the literature relevant to RCPP. We then formalize a description of the problem in mathematical terms in Section 3, and present a method for tackling it in Section 4. An experimental analysis of its performance is provided in Section 5, and conclusions and directions for future research are drawn in Section 6.

# 2 Background

No previous references to recursive circle packing problems as described in this paper could be found. However, the literature presents several problems with similarities.

A non-technical, general overview of circle packing is presented in [15], in an easy to read and captivating way. For an interesting and useful bibliographic review article see [8], which surveys the most relevant literature on efficient models and methods for packing circular objects/items into regions in the Euclidean plane; objects/items and regions considered are either 2- or 3-dimensional. A survey of industrial applications of circle packing and of methods for their solution, both exact and heuristic, is presented in [5].

The so-called strip packing problem, as well as the knapsack problem, is solved in [13]. The first problem asks for a placement of unequal circles within a rectangular strip of fixed width so that its variable length is minimized, while the knapsack problem requires a packing of the circles in a fixed size rectangle. They solve both problems using a greedy algorithm that enhance those used in [10], and is tested in instances from [16]. A different approach has been proposed in [18], where unequal circles are packed into a rectangular strip by assuming radii of circles to be variables; the solution process involves decreasing the problem's dimension by fixing radii of some circles and rearranging certain pairs of circles.

The problem of finding the smallest object within which a set of items can be packed has been dealt with in [4], where circular, triangular, squared, rectangular, and strip objects are considered both in 2 and in 3 dimensions. This work presents twice-differentiable models for these problems, allowing the solution of instances with a large number of items.

A model for the problem of packing both circles and non-oriented non-convex polygons with rotations into a multiply connected region is presented in [19], where an approximation to a global minimum is obtained by means of a specialized, non-exhaustive search of local minima.

Cutting is a problem often associated to packing; nonlinear programming models for cutting circles from rectangular plates, and techniques to solve them are described in [12].

Nonlinear programming models for packing identical circular items in elliptical objects are presented in [1], where multistart strategies are used in connection to nonlinear programming solvers for searching a global solution. Packing

of identical circles within triangles, rectangles and strips is dealt with in [2], with the aim of minimizing the containers' dimensions. Models and a solution method for packing identical circles into a circle with circular prohibited areas have been presented in [17].

In [10] the authors use a greedy algorithm to pack unequal circles into a rectangle. To place the next circle, they use a performance measure called hole degree, which indicates how close this circle is accommodated between the other already placed circles and the rectangle's sides. This algorithm is easily adapted if, instead of in a rectangle, circles are packed inside a larger circle, as proposed by the same authors in [11]. These papers also describe the basic placement heuristics considered in the current work, except for telescoping.

In [7] the authors study the problem of fitting circles of different sizes into a rectangle, which is formulated as a nonlinear mixed integer programming problem; the authors also develop a number of heuristic procedures for solving it. The best performing heuristic methods were a quasi-random technique and a genetic algorithm using the concept of stable solution structure.

A simulated annealing method for solving unconstrained and constrained circular cutting problems has been presented in [9]; the approach used is based upon an energy function that, when its value is minimized, leads to solutions composed by a set of pieces concentrated at the bottom-left corner of the initial rectangle.

Typologies of circle and other packing problems can be found, *e.g.*, in [9] and [20]. Despite the similarities of some of the classes with the problem we are dealing with, its recursive nature seems to be appearing here for the first time.

# 3 Problem statement

## 3.1 The base model

In the base model, $A$ tubes are available for packing in a container of width $W$ and height $H$, in such a way that the value of the packing is maximum. Let $\mathcal{A} = \{1, \ldots, A\}$ be the index set of the tubes; each tube $i \in \mathcal{A}$ is characterized by an external radius $r_i^{\mathsf{ext}}$ and an internal radius $r_i^{\mathsf{int}}$, and may be placed in the container or not. For describing a solution we will use:

- binary variables $w_i$ for all $i \in \mathcal{A}$, where $w_i = 1$ if tube $i$ is placed directly inside the container, $w_i = 0$ otherwise;
- binary variables $u_{ki}$ for $k, i \in \mathcal{A}$ such that $r_k^{\mathsf{int}} \geq r_i^{\mathsf{ext}}$, where $u_{ki} = 1$ if tube $i$ is placed directly inside tube $k$, $u_{ki} = 0$ otherwise (only required if $r_k^{\mathsf{int}} \geq r_i^{\mathsf{ext}}$; other pairs $(k, i)$ are not excluded for facilitating notation);
- position variables $(x_i, y_i)$ of the center of each tube $i$, for all $i \in \mathcal{A}$ (only relevant if $i$ is placed).

The constraints are the following. Each loaded tube is placed within the bounds of a container, which we assume to be a rectangle with vertices $(0, 0)$,

$(W, 0)$, $(0, H)$ and $(W, H)$:

$$x_i - r_i^{\mathsf{ext}} \geq 0, \quad \forall i \in \mathcal{A}, \tag{1}$$
$$y_i - r_i^{\mathsf{ext}} \geq 0, \quad \forall i \in \mathcal{A}, \tag{2}$$
$$x_i + r_i^{\mathsf{ext}} \leq W, \quad \forall i \in \mathcal{A}, \tag{3}$$
$$y_i + r_i^{\mathsf{ext}} \leq H, \quad \forall i \in \mathcal{A}. \tag{4}$$

Loaded tubes may be placed either directly in the container or inside other tubes:

$$w_i + \sum_k u_{ki} \leq 1, \quad \forall i \in \mathcal{A}. \tag{5}$$

For each pair of tubes $(i, j)$ directly placed in the container, the distance between them must be larger than the sum of their external radii:

$$\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2} \geq (r_i^{\mathsf{ext}} + r_j^{\mathsf{ext}})(w_i + w_j - 1), \quad \forall i, j \in \mathcal{A}. \tag{6}$$

Notice that this constraint is nonredundant if and only if $w_i = w_j = 1$. The same constraint must be observed for each pair of tubes $(i, j)$ directly placed inside the same tube $k$:

$$\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2} \geq (r_i^{\mathsf{ext}} + r_j^{\mathsf{ext}})(u_{ki} + u_{kj} - 1), \quad \forall i, j, k \in \mathcal{A}. \tag{7}$$

If tube $i$ is placed directly in tube $k$, their centers must be close enough for $i$ to remain completely inside $k$:

$$\sqrt{(x_k - x_i)^2 + (y_k - y_i)^2} \leq r_k^{\mathsf{int}} - r_i^{\mathsf{ext}} + M(1 - u_{ki}), \quad \forall i, k \in \mathcal{A}. \tag{8}$$

Recall that only pairs $(k, i)$ such that $r_k^{\mathsf{int}} \geq r_i^{\mathsf{ext}}$ (*i.e.*, $k$ is large enough to contain $i$) are considered. The constant $M$ is large enough to make each of this constraint redundant if $u_{ki}$ is zero, but for efficiency should be no larger. The farthest positions for $k$ and $i$ are touching opposite corners in the rectangle; hence we can set $M = \sqrt{(H - r_i^{\mathsf{ext}} - r_k^{\mathsf{ext}})^2 + (W - r_i^{\mathsf{ext}} - r_k^{\mathsf{ext}})^2}$.

Thus, constraints (6), (7), and (8) assure feasibility concerning the relative position of the tubes. Notice that these constraints may be squared, in order to preserve differentiability; this would be important for tackling the problem with general purpose nonlinear solvers, in line with what has been done in [3].

The objective of this problem is to maximize the value of the packing, *i.e.*, the sum of a user-defined value $v_i$ for loaded tubes:

$$\text{maximize } V = \sum_{i \in \mathcal{A}} v_i \left( w_i + \sum_{k \in \mathcal{A}} u_{ki} \right). \tag{9}$$

## 3.2 Model for minimization of the number of containers

The previous model considers that every tube may be packed or not; let us now turn to the case where there are $N$ tubes that must be packed, and let $\mathcal{N} = \{1, \ldots, N\}$ be their index set. It is assumed that $C$ identical containers with width $W$ and height $H$ is available, and their index set is denoted by $\mathcal{C} = \{1, \ldots, C\}$.

We now need the following variables:

- instead of $w_i$, binary variables $w'_{ci}$ for all $c \in \mathcal{C}, i \in \mathcal{N}$, where $w'_{ci} = 1$ if tube $i$ is placed directly inside container $c$, $w'_{ci} = 0$ otherwise;
- binary variables $z_c = 1$ if container $c$ is used, $z_c = 0$ otherwise, for all $c \in \mathcal{C}$.

Constraints (1) to (4), (7), and (8) must be now verified for all $i \in \mathcal{N}$. Constraints (5) are replaced by:

$$\sum_{c \in \mathcal{C}} w'_{ci} + \sum_{k \in \mathcal{N}} u_{ki} = 1, \quad \forall i \in \mathcal{N}, \tag{10}$$

imposing that every tube must be put either in a container or in another tube, and replacing (6) by:

$$\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2} \geq (r_i^{\mathsf{ext}} + r_j^{\mathsf{ext}})(w'_{ci} + w'_{cj} - 1), \quad \forall i, j \in \mathcal{N}, \forall c \in \mathcal{C}. \tag{11}$$

Additional constraints assert that all containers with tubes inside are counted:

$$z_c \geq w'_{ci} \quad \forall c \in \mathcal{C}, \forall i \in \mathcal{N}, \tag{12}$$

For reducing symmetry, one may additionally impose low indices for the open containers:

$$z_c \leq z_{c-1} \quad \text{for } c = 2, \ldots, C. \tag{13}$$

The objective is now to minimize the number of containers, *i.e.*:

$$\text{minimize } C = \sum_{c \in \mathcal{C}} z_c. \tag{14}$$

This model can be extended to the case of non-identical containers by considering modified versions of (3) and (4); *e.g.*, (4) becomes $y_i + r_i^{\mathsf{ext}} \leq \sum_c H_c w'_{ci}$, where $H_c$ is the height of container $c$. In this case constraints (13) do not apply, and the objective is to minimize $\sum_c f_c z_c$, where $f_c$ is the cost of using container $c$.

### 3.3 Model for maximization of load value

A variant of the problem which is more relevant in many situations is that of maximizing container load. It can be stated as follows: determine the minimum number of containers for dispatching $N$ required tubes; then, given $A$ additional, non-required tubes, insert a selection of them so that the value sent in the required containers' space is maximum.

The procedure can be summarized in two steps:

1. Determine the minimum number of containers $C^*$ necessary for delivering required tubes, using the model of Section 3.2;
2. Maximize the value for non-required tubes that are loaded in these containers.

We will focus on the solution of the second step, which is carried out after having solved the model of Section 3.2. The index sets are now $\mathcal{C}^* = \{1, \ldots, C^*\}$ for required containers, $\mathcal{N} = \{1, \ldots, N\}$ for required tubes, and $\mathcal{A} = \{N + 1, \ldots, N + A\}$ for non-required tubes; variables $w'_{ci}$ and $u_{ki}$, for $c \in \mathcal{C}^*$ and $k, i \in \mathcal{N} \cup \mathcal{A}$, have the same meaning as before.

The objective for this model is:

$$\text{maximize } V = \sum_{i \in \mathcal{A}} v_a \left( \sum_{c \in \mathcal{C}^*} w'_{ci} + \sum_{k \in \mathcal{N} \cup \mathcal{A}} u_{ki} \right) \tag{15}$$

subject to constraints (1) to (4), (7), (8), (11) and (12), which must be verified for all $i, j \in \mathcal{N} \cup \mathcal{A}$; constraint (10) is replaced by:

$$\sum_{c \in \mathcal{C}} w'_{ci} + \sum_{k \in \mathcal{N} \cup \mathcal{A}} u_{ki} = 1, \quad \forall i \in \mathcal{N}, \tag{16}$$

$$\sum_{c \in \mathcal{C}} w'_{ci} + \sum_{k \in \mathcal{N} \cup \mathcal{A}} u_{ki} \leq 1, \quad \forall i \in \mathcal{A}. \tag{17}$$

Due to its practical significance, this is the model tackled in this paper.

### 3.4 Limitations and practical issues

The main limitation of the previous models concerns the quadratic number of variables $u_{ki}$ that model whether tube $i$ is placed within tube $k$ or not. This is likely to interdict the use of general purpose nonlinear integer optimization solvers for tackling the problem.

Several practical issues have been omitted in the problem description, for the sake of clarity. Besides space occupation, containers also have a weight limit which cannot be exceeded. Some tubes have limited robustness, and load placed above them is limited. Good practical solutions have a low center of gravity, *i.e.*, heavier tubes are placed in the bottom of the container. In many situations tubes have sockets, making them thicker in one of the extremities; in this case a section of the container is no longer enough to represent a solution.

Optimal solutions are not necessarily practical. The position of certain tubes may be awkward in what concerns container loading, for example if tubes in lower layers are not in a stable, fixed position at the time of loading upper layers. In practice, the decision maker wants to have a set of solutions and intuitively choose one that is easy to implement and satisfactory in terms of space usage.

## 4 Solution method

### 4.1 Placement heuristics

As an approximative way of tackling this problem we propose that, when packing a tube (*i.e.*, a ring) in the rectangular container, this tube is telescoped with other thinner tubes. Telescoping is done in a recursive fashion, *i.e.*, any tube placed inside may itself be filled with available thinner tubes; this is recursively attempted until having no candidates that can be inserted. After telescoping is completed, the outer tube (hence, possibly with other tubes inside) is inserted

in the container; this corresponds to the more usual problem of packing unequal circles into a rectangular container. The telescoping phase is presented in Algorithm 1, and packing in the rectangular container in Algorithm 2.

**Algorithm 1:** Recursive tube packing in a tube (telescoping).
**Input:** Outer tube $t$, set of available tubes $\mathcal{L}$; each tube is characterized by its inner and outer diameter.
**Output:** Set of tubes inserted in $t$; for each of them, the (recursive) set of tubes placed inside, and the coordinates of theirs centers.
TELESCOPE($t, \mathcal{L}$)
(1) **let** $\mathcal{I} := \{t\}$ ←*set of telescoped tubes*
(2) **foreach** $s \in \mathcal{L}$ ←*tubes are assessed by decreasing outer diameter*
(3) **if** $s$ can be placed inside $t$:
(4) **let** $\mathcal{L} := \mathcal{L} \backslash \{s\}$
(5) **let** $\mathcal{I} := \mathcal{I} \cup$ TELESCOPE($s$, $\mathcal{L}$) ←*recursive call*
(6) **return** $\mathcal{I}$

After the telescoping phase is completed on an outer tube, the output is given as input to the packing procedure; this means that the circles that will be packed in each rectangle may have other, smaller circles packed inside (see Figure 4).

**Algorithm 2:** Packing circles in a rectangular container.
**Input:** Set of tubes to be packed $\mathcal{L}$; dimensions of the rectangular container.
**Output:** Set of tubes packed; coordinates of their centers.
RECTANGLEPACKING($\mathcal{L}$)
(1) **let** $\mathcal{C} := \{\}$ ←*start with empty container*
(2) **foreach** $t \in \mathcal{L}$ ←*tubes are assessed by decreasing outer diameter*
(3) **if** $t$ can be placed inside the container:
(4) **let** $\mathcal{L} := \mathcal{L} \backslash \{t\}$
(5) **let** $\mathcal{C} := \mathcal{C} \cup$ TELESCOPE($t$, $\mathcal{L}$)
(6) set $t$'s position to the lowest, leftmost position available
(7) **return** $\mathcal{C}$

In the two previous algorithms the basic tool for choosing a place for a circle is a point where it is tangent to two other objects (the exception is the first circle being packed inside a circle; this is placed in the bottom, as shown in Figure 1). Possible points for placing a circle tangent to two objects are presented in figures 1 and 2.

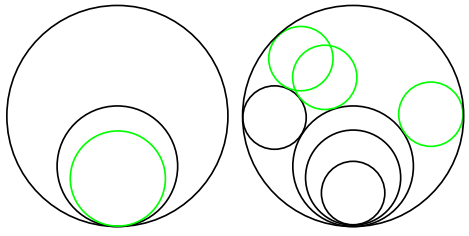

Figure 1: Possibilities of circle packing inside another circle (telescoping): positioning possibilities given previously placed, fixed circles (in black).

A heuristics for positioning a circle consists of choosing the lowest ordinate among the possible positions for each circle, and for tie-breaking selecting the

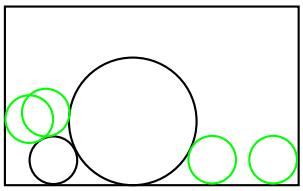

Figure 2: Circle packing inside a rectangle: positioning possibilities given previously placed, fixed circles (in black).

leftmost among positions with the same (lowest) ordinate. These tools specify a greedy procedure for obtaining solutions for the container minimization version of the RCPP: sequentially create new containers for packing tubes, inserting in each of them telescoped tubes, until the list of tubes remaining unpacked is empty.

As for the load maximization version, the procedure is adapted in the following way: after packing a container with required tubes, the container and the tubes within are filled up with non-required tubes, again in a greedy fashion. This is done starting from the most valuable non-required tube, and attempted until no additional tube can be inserted without overlap, or until the list of non-required tubes is empty. New containers are opened only as long as there are unpacked required tubes.

## 4.2 Local search

Local search in combinatorial optimization allows improving a solution by searching among its neighbors until reaching a local optimum, *i.e.*, a solution with no neighbors better than itself. Neighbors are solutions which share most of the structure with the incumbent solution.

If the neighborhood is not carefully designed, changes to the solution provided by the previous construction methods—as occasioned by a local search procedure—may be rather difficult to compute, as they may induce a chain of circle overlaps. In order to keep the algorithms simple and avoid dealing with infeasible solutions, we have used the following local search procedure: for each tube, attempt changing its placement into a lower position not originating overlap[1]. As local search may require a substantial amount of CPU time, it is only done on solutions that improve the best found so far.

## 4.3 Semi-greedy construction and improvement

Greedy construction has the advantage of producing solutions very quickly, and in a very orderly manner. These characteristics are very highly valued in practice, but the quality of greedy solutions may be rather limited. In order to overcome this problem, one straightforward strategy consists of allowing more than one place to be considered as candidate positions for each tube — borrowing ideas from semi-greedy construction in GRASP metaheuristics (for an

[1]This is particularly important in practical situations, where load weight must be considered and solutions with a low center of gravity are preferred.

introduction to GRASP see, *e.g.*, [6]). The steps for doing this, one container at a time, are sketched in Algorithm 3.

**Algorithm 3:** Semi-greedy construction and improvement.
**Input:** Number of iterations $T$; remaining tubes ($\mathcal{R}$ required, $\mathcal{A}$ non-required).
**Output:** Set of tubes used in this packing; coordinates of their centers.

```
(1)     let c* := greedy solution from tubes in R
(2)     while R not empty:
(3)         complete c* greedily with items removed from R
(4)         let L := list of tubes in c*
(5)         add next tube from R to L
(6)         for 1 to T
(7)             use Algorithm 2 for packing L, excepting:
(8)                 for each tube, determine a list C of candidate positions
(9)                 choose its position randomly from C
(10)            construct solution c' with these steps
(11)            if all tubes in L were packed into c':
(12)                execute a local search descent on c'
(13)                let c* := c'
(14)                update R
(15)                continue from step (2)
(16)        break
(17)    (repeat steps (2) to (16) with list A instead of R)
(18)    return set of tubes inside c* and their positions
```

In step (8) of Algorithm 3 a so-called *restricted candidate list* $\mathcal{C}$ of the best placement positions is prepared for each tube; the actual position in the current construction is chosen randomly from this list. For the RCPP, the number of potential positions for a tube is rather difficult to estimate; instead of limiting the size of the restricted candidate list, it is preferable to keep all the feasible candidates, sort the list according to the placement heuristics, and then randomly chose an item with decreasing probability with respect to its rank.

Using this randomized greedy procedure, steps (7) to (10) attempt to insert the current set of tubes $\mathcal{L}$ in one container. If this is successful, a new item is added to the list of tubes to be inserted, in step (5), and the process is repeated. When in $T$ iterations the list of tubes could not be put in a container, the current found solution is accepted. Semi-greedy filling of the container with an increasing number of non-required tubes is then attempted, using the same steps. The current usage of Algorithm 2 in step (7) may make use of semi-greedy placement of tubes inside tubes, as is being done for placing tubes in the container. This has been attempted, but for the instances considered success was limited; therefore, recursive packing in tubes is done in a purely greedy fashion[2].

As the number $T$ of attempted solutions increases, the quality of the best found solution tends to improve; $T$ must be set according to the time available and the speed of the computer being used.

Note that even though this algorithm was designed with the load maximization version of the problem in view, it can be used for the container minimization version in a straightforward way by setting $\mathcal{A}$ to an empty list.

[2]For instances allowing a large number of tubes inside other tubes, semi-greedy recursive packing in tubes is likely to be worthy.

## 4.4 The complete solution method

The complete solution method can now be summarized in Algorithm 4. As mentioned, it can be classified as a variant of GRASP; the main idea is to construct a complete solution sequentially, one container at a time. In each iteration of the main cycle, items remaining in the lists of required and non-required tubes are used for calling Algorithm 3 and filling an additional container. The lists of remaining required and non-required tubes is then updated. This cycle is repeated until there are no more required tubes. The solution returned consists of the set or containers obtained, each of which characterized by the set of tubes inside it and their positions.

**Algorithm 4:** GRASP for recursive circle packing.
**Input:** Number of iterations $T$; lists of required ($\mathcal{R}$) and non-required ($\mathcal{A}$) tubes.
**Output:** Set of containers; set of tubes in each container and its coordinates.
(1) let $\mathcal{C} :=$ set of containers (initially empty)
(2) **while** $\mathcal{R}$ not empty:
(3) let $c :=$ semi-greedy construction with $\mathcal{R}, \mathcal{A}, T$
(4) remove tubes inserted in $c$ from $\mathcal{R}, \mathcal{A}$
(5) let $\mathcal{C} := \mathcal{C} \cup \{c\}$
(6) **return** $\mathcal{C}$

Practical instances of this problem have many identical items. When the number of containers is large, some of them are typically an exact copy of their predecessor. For speeding up the algorithm in this case, before attempting semi-greedy construction of a container's contents, it is checked if there are enough remaining items to fill it exactly like its predecessor; if so, the predecessor's configuration is accepted for the current container.

## 4.5 Optimality

One question that may be asked about the proposed solution method concerns its convergence to optimality in case the number of construction iterations is unlimited. The answer is negative; indeed, in examples as the one shown in Figure 3 the sequential placement of circles is not on positions where they touch two other objects. Small and middle tubes in the central-top and bottom positions hinder the movement of large tubes. Such a solution cannot be found by the methods proposed in this paper.

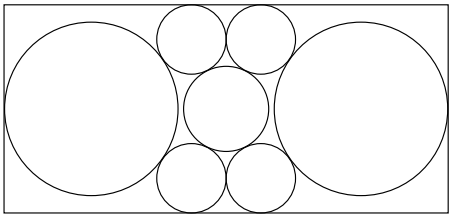

Figure 3: A solution that cannot be found by the proposed methods.

# 5 Computational results

In order to assess the quality of the methods proposed, we performed a computational experiment using instances similar to those found in an industrial setting. The methods were extensively tested on a set of 9 challenging instances, divided into three groups, each characterized by the number of different tubes. For each group, the first (smallest) instance has been found in situations where a greedy solution was not satisfactory: the set of required tubes can be loaded in one container, but the greedy solution uses two. The remaining instances of each group are obtained multiplying the number of required tubes by 10 and 100 — hence leading to an expected approximate number of containers of 10 and 100, respectively[3].

The first group of instances, `s03i1`, `s03i2`, `s03i3`, corresponds to tubes of three different sizes; group `s05i1`, `s05i2`, `s05i3` has 5 different tube sizes, and the third group, `s16i1`, `s16i2`, `s16i3`, consists of instances with 16 different tube sizes.

| | Greedy | | | GRASP | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | worst | | median | | best | | average |
| Instance | $C$ | $V$ | CPU | $C$ | $V$ | $C$ | $V$ | $C$ | $V$ | CPU |
| `s03i1` | 2 | 407 | 0.45 | 1 | 20 | 1 | 23 | 1 | 29 | 14. |
| `s03i2` | 11 | 570 | 1.5 | 10 | 204 | 10 | 252 | 10 | 300 | 215. |
| `s03i3` | 103 | 3031 | 23. | 98 | 1807 | 96 | 930 | 95 | 1034 | 323. |
| `s05i1` | 2 | 532 | 0.40 | 1 | 38 | 1 | 41 | 1 | 43 | 11. |
| `s05i2` | 11 | 838 | 1.7 | 10 | 435 | 10 | 440 | 10 | 445 | 338. |
| `s05i3` | 101 | 4188 | 15. | 99 | 3858 | 99 | 3955 | 98 | 3577 | 465. |
| `s16i1` | 2 | 5069 | 1.3 | 1 | 922 | 1 | 942 | 1 | 966 | 25. |
| `s16i2` | 10 | 9616 | 4.4 | 10 | 9450 | 10 | 9598 | 10 | 9664 | 1084. |
| `s16i3` | 98 | 88062 | 78. | 97 | 83525 | 96 | 79641 | 96 | 80613 | 2773. |

Table 1: Number of containers required ($C$), value or non-required tubes inserted ($V$), and CPU time (s) used for each of the test instances, as obtained by greedy construction and by the GRASP metaheuristics (for the latter, minimum, median, and maximum values on 25 independent observations).

Results obtained in an ordinary, recent desktop computer are presented in Table 1. The number of constructions attempted in GRASP was $T = 10000$. Programs were developed in the Python programming language; though the implementation was careful, there is still room for improvement. Nevertheless, the CPU time required even for large instances is acceptable in practice. The quality of the GRASP solution is in general much superior to that of a purely greedy solution. For the small instances, a visualization of the solutions is provided in Figure 4.

[3]Instance data and the programs implemented are available in `http://www.dcc.fc.up.pt/~jpp/code/occ`.

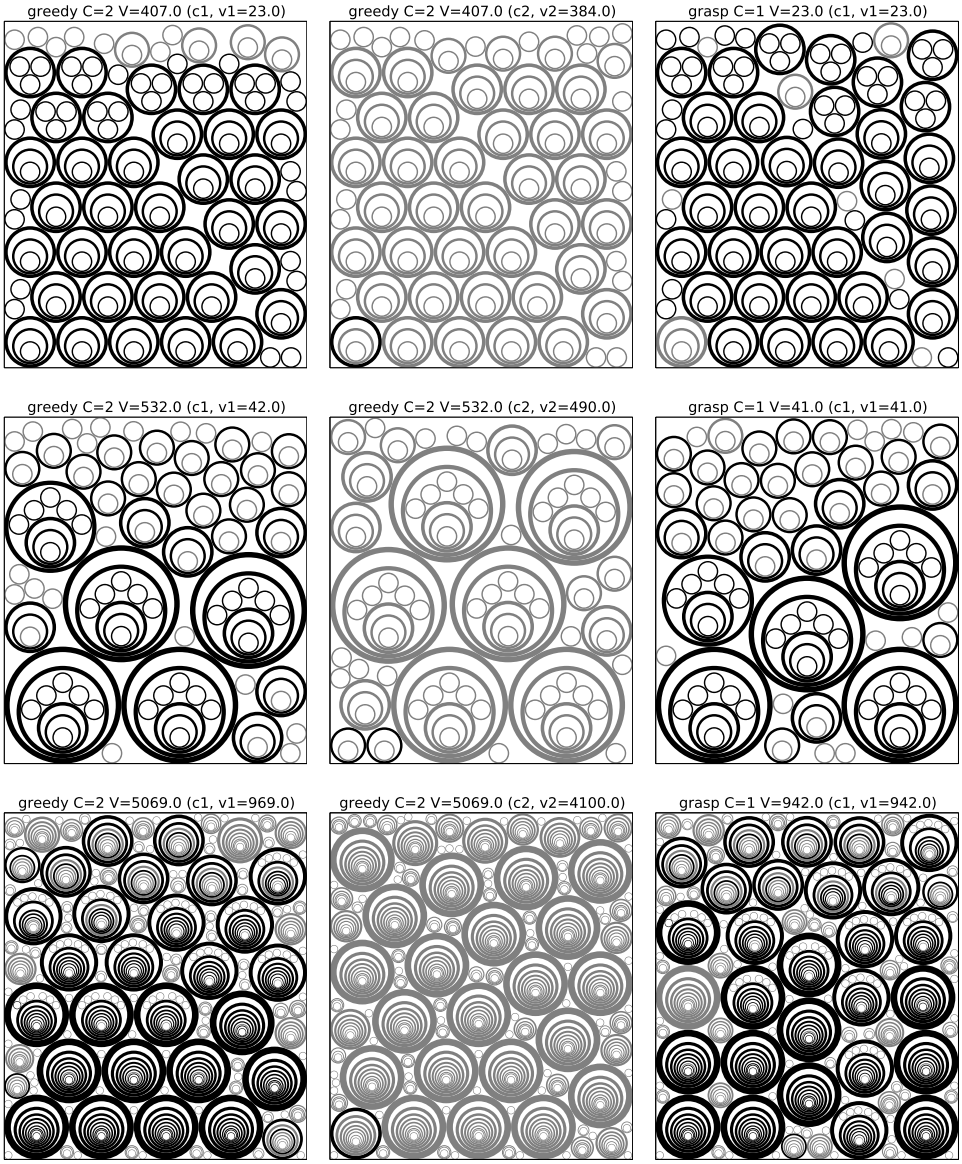


Figure 4: Plots of solutions obtained with greedy construction (left and center) and with GRASP (median of solutions obtained in 25 independent observations, right) for the small instances: `s03i1` (top), `s05i1` (center) and `s16i1` (bottom). Required tubes are plotted in black, non-required ones in gray.

# 6 Conclusions

This paper presents recursive circle packing problems, which are hard combinatorial optimization problems with direct application in the tube industry. Variants of the problem are formulated as mathematical optimization, disjunctive programming model. A greedy method for obtaining heuristic solutions is proposed for the most relevant variant, occurring in a particular industrial setting. This method is extended for including a random component, allowing repeated, semi-greedy solution construction, borrowing ideas from GRASP metaheuristics.

Experimental results show that the methods have practical relevance. As this problem is, to the best of our knowledge, presented here for the first time, a set of benchmark instances is suggested for comparing the proposed approach with other methods.

In terms of future research, there are two directions worthy further investigation: one is tackling more realistic versions of the problem (*e.g.*, considering weights and tubes with sockets); another is theoretical work on the base model, *i.e.*, packing a subset of tubes with maximum value in a single container.

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