

Fixed points of endomorphisms of certain free products

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ABSTRACT

The fixed point submonoid of an endomorphism of a free product of a free monoid and cyclic groups is proved to be rational using automata-theoretic techniques. Maslakova's result on the computability of the fixed point subgroup of a free group automorphism is generalized to endomorphisms of free products of a free monoid and a free group which are automorphisms of the maximal subgroup.

1 Introduction

Gersten proved in the eighties that the fixed point subgroup of a free group automorphism φ is finitely generated [10]. Using a different approach, Cooper gave an alternative proof, proving also that the fixed points of the continuous extension of φ to the boundary of the free group is in some sense finitely generated [9]. Bestvina and Handel achieved in 1992 a major breakthrough through their innovative train track techniques, bounding the rank of the fixed point subgroup and the generating set for the infinite fixed points [3]. Their approach was pursued by Maslakova in 2003 to prove that the fixed point subgroup can be effectively computed [14].

Gersten's result was generalized to further classes of groups and endomorphisms in subsequent years. Goldstein and Turner extended it to monomorphisms of free groups [11], and later to arbitrary endomorphisms [12]. Collins and Turner extended it to automorphisms of free products of freely indecomposable groups [8], and recently Sykiotis to monomorphisms [19]. The interested reader can find more information in Ventura's excellent survey [20].

Cassaigne and the author developed in [6] an approach to the study of monoids defined by special confluent rewriting systems (SC monoids) that preserves some of the features of the free group case and contains free products of cyclic groups as a particular case, as well as the partially reversible monoids introduced in [17]. In fact, the undirected Cayley graph of these monoids is hyperbolic and there exists a nice compact completion for the prefix

metric. Uniformly continuous endomorphisms, algorithmically characterized in [6], admit a continuous extension to the boundary. In [7], the same authors used this approach to study the dynamics of infinite periodic points for two classes of endomorphisms of the monoids in question.

In [18], the author proved that the fixed point submonoids of a large class of uniformly continuous endomorphisms of SC monoids are rational (actually finitely generated in the group case), obtaining also results of the same flavour for infinite fixed points. In the group case, new results were obtained for infinite fixed points of monomorphisms of free products of cyclic groups (c-free groups).

In the present paper, we go beyond the uniform continuity restriction of [18], which is equivalent to injectivity in the group case. Section 3 is devoted to the problem of proving that the fixed point submonoid is rational, generalizing Goldstein and Turner’s proof for free group endomorphisms. This involves facing some technical difficulties brought by the existence of finite order elements. We obtain thus a fully automata-theoretic proof of a result that follows also from previous results by Sykiotis [19]. The advantage of this new proof is that it may offer some insight into the algorithmic aspects of the problem.

In Section 4, we discuss computability of the fixed point submonoid, the reference being of course Maslakova’s result on free group automorphisms [14]. We generalize this result to endomorphisms of free products of a free monoid and a free group whose restriction to the group is an automorphism.

2 Preliminaries

Given a monoid M , we denote by $\text{Rat } M$ the set of all *rational* subsets of M , i.e., the smallest family of subsets of M containing the finite sets and closed under union, product and the star operator (X^* denotes the submonoid of M generated by $X \subseteq M$). For details on rational languages, the reader is referred to [2, 16].

In the particular case of a free monoid $M = A^*$, a combinatorial description in terms of finite automata is usually preferred. We define a (finite) A -automaton to be a quadruple $\mathcal{A} = (Q, q_0, T, \delta)$ where Q is a (finite) set, $q_0 \in Q$ is the initial vertex, $T \subseteq Q$ are the terminal vertices and $E \subseteq Q \times A \times Q$. The language recognized by \mathcal{A} is

$$L(\mathcal{A}) = \{w \in A^* \mid \text{there exists a path } q_0 \xrightarrow{w} t \in T \text{ in } \mathcal{A}\}.$$

Such a path is called *successful*. Note that the empty word labels a (trivial) path $q_0 \xrightarrow{1} q_0$.

The classical Kleene’s Theorem states that $L \subseteq A^*$ is rational if and only if $L = L(\mathcal{A})$ for some finite A -automaton \mathcal{A} . If we replace letters by rational languages as labels of edges, we remain within the realm of rational languages. Note that, if we fix a homomorphism $\pi : A^* \rightarrow M$, then $\text{Rat } M = (\text{Rat } A^*)\pi$ and so the rational subsets of M can be defined through finite automata.

Let $\mathcal{A} = (Q, q_0, T, E)$ be an A -automaton. We say that \mathcal{A} is

- *deterministic* if $(p, a, q), (p, a, r) \in E$ implies $q = r$;
- *complete* if there exist edges with arbitrary label starting at every vertex;
- *accessible* if there exist paths from q_0 to any arbitrary vertex.

Another case that will be relevant for us is the case of M being a group, when we have the following result of Anisimov and Seifert:

Proposition 2.1 [16, Prop. II.6.2] *Let H be a subgroup of a group G . Then $H \in \text{Rat } G$ if and only if H is finitely generated.*

A finite *rewriting system* is a formal expression $\langle A \mid R \rangle$, where A is a finite alphabet and R a finite subset of $A^* \times A^*$. The elements of R are called *rules*. Given $u, v \in A^*$, we write $u \rightarrow_R v$ if

$$u = xry, \quad v = xsy$$

for some $x, y \in A^*$ and $(r, s) \in R$. We denote by $\xrightarrow{*}_R$ the reflexive and transitive closure of the relation \rightarrow_R . The subscript R will be usually omitted. The *congruence* on A^* generated by R will be denoted by R^\sharp . Note that $R^\sharp = \xrightarrow{*}_{R \cup R^{-1}}$. The quotient $M = A^*/R^\sharp$ is said to be the monoid defined by the rewriting system R . We denote by π the canonical homomorphism $A^* \rightarrow M$.

A rewriting system $\langle A \mid R \rangle$ is said to be

- *special* if $R \subseteq A^+ \times \{1\}$;
- *confluent* if, whenever $u \xrightarrow{*} v$ and $u \xrightarrow{*} w$, there exists $z \in A^*$ such that $v \xrightarrow{*} z$ and $w \xrightarrow{*} z$:

$$\begin{array}{ccc} u & \xrightarrow{*} & v \\ \downarrow * & & \downarrow * \\ w & \xrightarrow{*} & z \end{array}$$

We shall refer to a monoid defined by a finite special confluent rewriting system as an *SC monoid*. An important case is given by free groups. Indeed, FG_A is defined by

$$\langle A \cup A^{-1} \mid aa^{-1} \rightarrow 1, a^{-1}a \rightarrow 1 (a \in A) \rangle,$$

where A^{-1} denotes a set of formal inverses of A .

Let $\langle A \mid R \rangle$ be a special confluent rewriting system. We say that $w \in A^*$ is *irreducible* (with respect to R) if $w \notin \cup_{(r,s) \in R} A^*rA^*$. For every $u \in A^*$, there is exactly one irreducible $v \in A^*$ such that $u \xrightarrow{*} v$: existence follows from R being length-reducing, and uniqueness from confluence. We denote this unique irreducible word by \bar{u} . It is well known (see [5]) that the equivalence

$$u\pi = v\pi \Leftrightarrow \bar{u} = \bar{v}$$

holds for all $u, v \in A^*$, hence $\overline{A^*} = \{\bar{u} \mid u \in A^*\}$ constitutes a set of normal forms for the monoid $M = A^*/R^\sharp$.

A generalized version of the classical Benois' Theorem states that rational languages are preserved by reduction:

Theorem 2.2 [1] *Let $\langle A \mid R \rangle$ be a finite special confluent rewriting system and let $L \subseteq A^*$ be rational. Then \overline{L} is rational and effectively constructible from L .*

If M and M' are defined respectively by the rewriting systems $\langle A \mid R \rangle$ and $\langle A' \mid R' \rangle$, then the *free product* $M * M'$ is defined by $\langle A \cup A' \mid R \cup R' \rangle$, taking A' disjoint from A . Since a free group is a free product of infinite cyclic groups, we call a free product of cyclic groups *c-free*.

We denote the submonoid of endomorphisms (respectively subgroup of automorphisms) of M by $\text{End}M$ (respectively $\text{Aut}M$). Given $\varphi \in \text{End}M$, let

$$\text{Fix } \varphi = \{u \in M \mid u\varphi = u\}$$

denote the submonoid of *fixed points* of φ . Note that $\text{Fix } \varphi$ is a group if M is a group.

3 Rationality

It is easy to determine which SC monoids can be embedded into some group. Following [15], we say that a monoid M is *directly finite* if

$$\forall x, y \in M \quad xy = 1 \Rightarrow yx = 1.$$

We recall that the *bicyclic monoid* is the SC monoid defined by the rewriting system $\langle a, b \mid ab \rightarrow 1 \rangle$.

Proposition 3.1 *Let M be an SC monoid. Then the following conditions are equivalent:*

- (i) M is embeddable into some group;
- (ii) M contains no bicyclic submonoid;
- (iii) M is directly finite;
- (iv) M is a free product of a free monoid and cyclic groups.

Proof. (i) \Rightarrow (ii). Since a bicyclic monoid contains infinitely many idempotents ($b^n a^n$ for the standard rewriting system).

(ii) \Rightarrow (iii). By [13, Section VI.3].

(iii) \Rightarrow (iv). Assume that M is defined by the finite special confluent rewriting system $\langle A \mid R \rangle$. Let A_1 be the set of generators which occur in some relator of R , and write $A_0 = A \setminus A_1$. Let $a \in A_1$. Then R has some relator of the form $uav \rightarrow 1$ for some $u, v \in A^*$. Since M is directly finite, it follows that $(vua)\pi = 1 = (avu)\pi$, hence $a\pi$ is invertible in M and so $A_1^*\pi$ is a subgroup of M . Since $A_1^*\pi$ is defined by the finite special confluent rewriting system $\langle A_1 \mid R \rangle$, we get a free product decomposition $M = A_0^* * A_1^*\pi$. By [18, Proposition 6.1], every SC group is c-free and so (iv) holds.

(iv) \Rightarrow (i). Since A^* embeds in FG_A and this embedding extends to an embedding of $A^* * G$ into $FG_A * G$. \square

We adapt now Goldstein and Turner's proof [11] to c-free groups. The theorem follows also from the work of Sykiotis [19], which used different techniques.

Theorem 3.2 [19] *Let φ be an endomorphism of a finitely generated c-free group. Then $\text{Fix } \varphi$ is finitely generated.*

Proof. Let φ be an endomorphism of the c-free group G defined by the finite special confluent rewriting system $\langle A \mid R \rangle$, and let $\pi : A^* \rightarrow G$ denote the canonical morphism. We may assume that $A = A_0 \cup A_1 \cup A_1^{-1}$ and there exist $m_a \geq 2$ for every $a \in A_0$ such that

$$R = \{(a^{m_a}, 1) \mid a \in A_0\} \cup \{(aa^{-1}, 1), (a^{-1}a, 1) \mid a \in A_1\}.$$

For every $a \in A_0$, write $a^{-1} = a^{m_a-1}$. Write also $(a^{-1})^{-1} = a$ for every $a \in A_1$. Define u^{-1} inductively for every $u \in A^*$ through

$$1^{-1} = 1, \quad (va)^{-1} = a^{-1}v^{-1} \quad (v \in A^+, a \in A).$$

Clearly, $(u^{-1})\pi = (u\pi)^{-1}$ for every $u \in A^*$.

For every $g \in G$, let $Q(g) = \overline{g^{-1}(g\varphi)}$. Note that $g \in \text{Fix } \varphi$ if and only if $Q(g) = 1$. We define an A -automaton $\mathcal{A}_\varphi = (Q, 1, 1, E)$ by

$$\begin{aligned} Q &= \{Q(g) \mid g \in G\}; \\ E &= \{(Q(g), a, Q(ga)) \mid g \in G, a \in A\}. \end{aligned}$$

Clearly, \mathcal{A}_φ is a complete accessible deterministic automaton and

$$L(\mathcal{A}_\varphi) = (\text{Fix } \varphi)\pi^{-1}.$$

We define a subautomaton $\mathcal{A}'_\varphi = (Q, 1, 1, E')$ through

$$E' = \{(p, a, q) \in E \mid aq \text{ is irreducible}\}.$$

Let $d_\varphi = \max\{|a\varphi|; a \in A\}$ and $m_R = \max(\{2\} \cup \{m_a \mid a \in A_0\})$. Note that, for all $u \in \overline{A^*}$ and $a \in A$, the suffix of u involved in the reduction of $u(a\varphi)$ has length at most $(m_R - 1)d_\varphi$ (since each letter of $a\varphi$ can erase at most $m_R - 1$ letters of u).

We show that

$$\forall p \in Q \quad |p| > (m_R - 1)d_\varphi \Rightarrow p \text{ has outdegree } \leq 1 \text{ in } \mathcal{A}'_\varphi. \quad (1)$$

Let $p \in Q$ be such that $|p| > (m_R - 1)d_\varphi$. Suppose that $(p, a, q), (p, b, q') \in E'$ are distinct edges. Since \mathcal{A}_φ is deterministic, we have $a \neq b$. It suffices to show that $p \in aA^*$. By symmetry, also $p \in bA^*$ and we reach the required contradiction.

Suppose that $p = cu$ with $c \in A \setminus \{a\}$. Then $q = \overline{a^{-1}cu(a\varphi)}$. Since $c \neq a$, $a^{-1}cu$ is irreducible. Now $|u| \geq (m_R - 1)d_\varphi$ implies that $a^{-1}c$ remains untouched in the reduction of $a^{-1}cu(a\varphi)$. Hence $q = a^{-1}c\overline{u(a\varphi)}$ and so aq is reducible, contradicting $(p, a, q) \in E'$. Thus $p \in aA^*$ and so (1) holds.

Given $q \in Q$, let the *depth* of q , denoted by $\text{dep}(q)$, be the length of the shortest path $1 \rightarrow q$ in \mathcal{A}_φ . Since \mathcal{A}_φ is accessible, $\text{dep}(q)$ is well defined.

Fix $s_0 > 1 + (m_R - 1)d_\varphi$ such that $s_0 \geq m_a + (m_R - 1)|a^i\varphi|$ for all $a \in A_0$ and $i \in \{1, \dots, m_a - 1\}$, and let

$$s = m_R + \max\{\text{dep}(p) \mid p \in Q \text{ and } |p| < s_0\}.$$

It follows that

$$\text{dep}(p) > s - m_R \Rightarrow |p| \geq s_0 > 1 + (m_R - 1)d_\varphi \quad (2)$$

holds for every $p \in Q$.

Lemma 3.3 *If $(p, a, q) \in E \setminus E'$ and $\text{dep}(p), \text{dep}(q) > s - m_R$, then there exists a path $q \xrightarrow{a^{-1}} p$ in \mathcal{A}'_φ .*

Proof. Clearly, we have a path $q \xrightarrow{a^{-1}} p$ in \mathcal{A}_φ . We must show that all the edges in it are in E' . First, we note that aq must be reducible by definition of E' , and so $q = a^{-1}u$ for some $u \in \overline{A^*} \setminus aA^*$.

Assume first that $a \in A_1 \cup A_1^{-1}$. Suppose that $(q, a^{-1}, p) \notin E'$. Then $a^{-1}p$ is reducible and so $p = abv$ for some $b \in A \setminus \{a^{-1}\}$ and $v \in \overline{A^*}$. We have $|p| > 1 + (m_R - 1)d_\varphi$ by (2), hence $a^{-1}u = q = \overline{a^{-1}p(a\varphi)} = \overline{bv(a\varphi)}$, yielding $b = a^{-1}$ and contradicting $p = abv$. Thus $(q, a^{-1}, p) \in E'$ in this case.

Assume now that $a \in A_0$. For $i = 1, \dots, m_a - 1$, we have an edge

$$\overline{a^{m_a-i}u(a^{i-1}\varphi)} \xrightarrow{a} \overline{a^{m_a-i-1}u(a^i\varphi)}$$

in \mathcal{A}_φ . Since $|q| \geq s_0 > 1 + (m_R - 1)d_\varphi$ by (2), we get $|u| > s_0 - m_a \geq (m_R - 1)|a^{i-1}\varphi|, (m_R - 1)|a^i\varphi|$. It follows that $\overline{a^{m_a-i}u(a^{i-1}\varphi)} \xrightarrow{a} \overline{a^{m_a-i-1}u(a^i\varphi)}$ is an edge of \mathcal{A}_φ , indeed of \mathcal{A}'_φ , for $i = 1, \dots, m_a - 1$. Thus there is a path $q \xrightarrow{a^{-1}} u(a^{m_a-1}\varphi)$ in \mathcal{A}'_φ . Since \mathcal{A}_φ is deterministic, it follows that $\overline{u(a^{m_a-1}\varphi)} = p$ and the lemma is proved. \square

Let \mathcal{B}_φ be the (finite) full subautomaton of \mathcal{A}_φ induced by the subset of vertices of depth $\leq s$ (that is, \mathcal{B}_φ contains all the edges of \mathcal{A}_φ connecting vertices of depth $\leq s$).

Given $q \in Q$ of depth $> s - m_R$, by (1) and (2) there exists in \mathcal{A}'_φ a unique maximal path $\alpha_q : q \longrightarrow \dots$ where every vertex has depth $> s - m_R$. Let Q_0 (respectively Q_1) denote the set of all $q \in Q$ with $s - m_R < \text{dep}(q) \leq s$ such that the set of vertices occurring in α_q is finite (respectively infinite). Given $p, q \in Q_1$ distinct, let $p \wedge q$ denote the first vertex in α_p to appear in α_q (if such a vertex exists, otherwise $p \wedge q$ remains undefined). Then $p \wedge q = q \wedge p$, otherwise we would have a cycle

$$p \wedge q \begin{array}{c} \longleftarrow \\ \longrightarrow \end{array} q \wedge p$$

contradicting $p \in Q_1$.

We define \mathcal{C}_φ to be the automaton obtained by adding to \mathcal{B}_φ all vertices and edges in the following paths of \mathcal{A}'_φ :

(C1) α_q for $q \in Q_0$;

(C2) initial segments $q \longrightarrow p$ of α_q for $q \in Q_1$ and $\text{dep}(p) \leq s$;

(C3) $p \longrightarrow p \wedge q$ for all $p, q \in Q_1$ such that $p \wedge q$ is defined.

Clearly, \mathcal{C}_φ is finite. Finally, \mathcal{C}'_φ is obtained by adding to \mathcal{C}_φ , for every edge (p, a, q) of \mathcal{C}_φ , all the edges in the path $q \xrightarrow{a^{-1}} p$ in \mathcal{A}_φ . Note that \mathcal{C}'_φ is a finite subautomaton of \mathcal{A}_φ . Moreover, if (p, a, q) is an edge of \mathcal{C}'_φ , there exists a path $q \xrightarrow{a^{-1}} p$ in \mathcal{C}'_φ .

We prove now that

$$\overline{\text{Fix } \varphi} \subseteq L(\mathcal{C}'_\varphi). \quad (3)$$

Recall that $(\text{Fix } \varphi)\pi^{-1} = L(\mathcal{A}_\varphi)$. Since \mathcal{B}_φ is a subautomaton of \mathcal{C}'_φ , it suffices to show that every path $p \xrightarrow{u} q$ in \mathcal{A}_φ such that $u \in \overline{A^*}$, $\text{dep}(p) = s$, $\text{dep}(q) \leq s$ and all intermediate vertices have depth $> s$, is also a path in \mathcal{C}'_φ .

Let $p \xrightarrow{u} q$ be such a path. We can factor this path as

$$p = p_0 \xrightarrow{u_0} r_1 \xrightarrow{v_1} p_1 \xrightarrow{u_1} \dots \xrightarrow{v_n} p_n \xrightarrow{u_n} r_{n+1} = q,$$

where the $r_i \xrightarrow{v_i} p_i$ group the edges in $E \setminus E'$, and $u_i, v_j \neq 1$ for $1 \leq i \leq n-1$ and $1 \leq j \leq n$. Note that, when $(r, a, t) \in E$, then $\text{dep}(t) > \text{dep}(r) - m_R$: this is clear if $a \in A_1 \cup A_1^{-1}$ since $(t, a^{-1}, r) \in E$; on the other hand, if $a \in A_0$, then there is a path $t \xrightarrow{a} r$ of length $< m_R$ and our claim holds too. It follows that $\text{dep}(q) > s - m_R$ and so we can apply Lemma 3.3 and get paths

$$p = p_0 \xrightarrow{u_0} r_1 \xleftarrow{v_1^{-1}} p_1 \xrightarrow{u_1} \dots \xleftarrow{v_n^{-1}} p_n \xrightarrow{u_n} r_{n+1} = q$$

in \mathcal{A}'_φ . Assume first that $n = 0$. Then $p \xrightarrow{u} q$ is an initial segment of some path α_q in (C1) or (C2), hence is a path in \mathcal{C}_φ and therefore in \mathcal{C}'_φ . Thus we assume that $n > 0$. Take $i \in \{1, \dots, n\}$. We show that u_i is a proper prefix of v_i^{-1} .

Indeed, we have paths

$$r_i \xleftarrow{v_i^{-1}} p_i \xrightarrow{u_i} r_{i+1}$$

in \mathcal{A}'_φ and all our vertices are too deep to have outdegree > 1 . Hence one of this two paths must be an initial segment of the other. Write $v_i = xa$ with $a \in A$. If $a \in A_1 \cup A_1^{-1}$, then $u_i = 1$, otherwise $u_i \in a^{-1}A^*$, and aa^{-1} would be a factor of $v_i u_i$, contradicting the irreducibility of u . Hence we may assume that $a \in A_0$ and so $v_i^{-1} = a^{m_a-1}x^{-1}$. Similarly to the preceding case, $u_i \in a^{m_a-1}A^*$ would contradict the irreducibility of u , hence u_i must be a proper prefix of a^{m_a-1} and therefore of v_i^{-1} .

Write $v_i^{-1} = u_i w_i$. We have paths

$$p \xrightarrow{u_0} r_1 \xleftarrow{w_1} r_2 \xleftarrow{w_2} \dots \xleftarrow{w_n} r_{n+1} = q$$

in \mathcal{A}'_φ . Let $w = w_n w_{n-1} \dots w_1$. We claim that $p \xrightarrow{u_0} r_1 \xleftarrow{w} q$ are paths in \mathcal{C}'_φ .

This is immediate if $p \in Q_0$ (which is equivalent to $q \in Q_0$), hence we assume that $p, q \in Q_1$.

Suppose that $p = q$. Then $u_0 = w$ and so $w v_1 u_1$ is a factor of u , hence irreducible. Decompose in letters $v_1 = a_1 \dots a_k$. Since $u_1 w_1 = v_1^{-1} = a_k^{-1} \dots a_1^{-1}$ and $w_1 v_1 u_1$ is irreducible, it follows that $k = 1$, otherwise a_k^{-1} is a prefix of u_1 or a_1^{-1} is a suffix of w_1 . If $a_1 \notin A_0$, then $u_1 w_1 = a_1^{-1}$ produces immediately a contradiction. On the other hand, if $a_1 \in A_0$, then $u_1 w_1 = a_1^{m_a-1}$ and so $w_1 v_1 u_1 = a_1^{m_a}$ is reducible, another contradiction. Hence $p \neq q$.

Write $u_0 = u'_0 h$, $w \stackrel{u'_0}{=} w' h$, where h denotes the longest common suffix of the two words. Then we have paths $p \xrightarrow{u'_0} p \wedge q \xleftarrow{w'} q$ in \mathcal{C}_φ . So we are done if $h = 1$. Assume then that $h \neq 1$ and write $h = h' b$ with $b \in A$. Note that, since u_1 is a proper prefix of v_1^{-1} , then w_1 is a nontrivial suffix of w . If $b \notin A_0$, then $w_1 \in A^* b$ and so $v_1 \in b^{-1} A^*$. Hence bb^{-1} would be a factor of $u_0 v_1$, contradicting the irreducibility of u . Hence $b \in A_0$. Write $h = h'' b^i$ with $h'' \notin A^* b$. Then $w_1 \in A^* b$ and so $v_1 \in b A^*$. Suppose first that $v_1 = b v' c$ with $c \in A$. Then $u_1 w_1 = v_1^{-1} = c^{-1} v'^{-1} b^{m_b-1}$. If c^{-1} is a prefix of u_1 , then $v_1 u_1$ would not be irreducible, hence b^{m_b-1} is a suffix of w_1 . Now, since $u_0 v_1$ is irreducible and v_1 starts by b , we have $i \leq m_a - 2$ and so $h = b^i$. Moreover, since b^{m_b-1} is a suffix of w , the last edge of $q \xrightarrow{w'} p \wedge q$ belongs to the b -labelled cycle of \mathcal{A}_φ containing $p \wedge q \xrightarrow{h} r_1$, and so the edges in this path must belong to \mathcal{C}'_φ .

Assume now that $v_1 = b$. Then $u_1 w_1 = b^{m_b-1}$. Since $u_0 v_1 u_1 = u'_0 h'' b^i b u_1$ is irreducible, we have $|w_1| > i$ and so once again the last edge of $q \xrightarrow{w'} p \wedge q$ belongs to the b -labelled cycle of \mathcal{A}_φ containing $p \wedge q \xrightarrow{h} r_1$. Therefore the edges in this path must belong to \mathcal{C}'_φ .

Now, since $p \xrightarrow{u_0} r_1 \xleftarrow{w} q$ are paths in \mathcal{C}'_φ , also $r_1 \xrightarrow{w^{-1}} q$ is a path in \mathcal{C}'_φ . Hence $v_i^{-1} = u_i w_i$ yields $v_i = \overline{w_i^{-1} u_i^{-1}}$ and so $\overline{w_i^{-1}} = \overline{v_i u_i} = v_i u_i$ since $v_i u_i$ is irreducible. Since $w^{-1} =$

$w_1^{-1} \dots w_n^{-1}$, it follows that there exists a path in \mathcal{C}'_φ of the form $r_1 \xrightarrow{z} q$ for $z = v_1 u_1 \dots v_n u_n$. Therefore $p \xrightarrow{u} q$ is a path in \mathcal{C}'_φ and (3) holds.

Now $\overline{\text{Fix } \varphi} \subseteq L(\mathcal{C}'_\varphi) \subseteq L(\mathcal{A}_\varphi) = (\text{Fix } \varphi)\pi^{-1}$ yields $\overline{\text{Fix } \varphi} \subseteq \overline{L(\mathcal{C}'_\varphi)} \subseteq \overline{\text{Fix } \varphi}$ and so $\overline{\text{Fix } \varphi} = \overline{L(\mathcal{C}'_\varphi)}$. By Theorem 2.2, $\overline{\text{Fix } \varphi}$ is rational and so $\text{Fix } \varphi$ is a rational subset of G . By Proposition 2.1, $\text{Fix } \varphi$ is then a finitely generated subgroup of G . \square

Corollary 3.4 *Let φ be an endomorphism of a finitely generated free product of a free monoid and cyclic groups. Then $\text{Fix } \varphi$ is rational.*

Proof. Let $M = A_0^* * G_1$, where G_1 is the c-free group defined by the finite special confluent rewriting system $\langle A_1 \mid R_1 \rangle$. Let G be the c-free group defined by the finite special confluent rewriting system $\langle A \mid R \rangle$, where $A = A_0 \cup A_0^{-1} \cup A_1$ and $R = R_1 \cup \{(aa^{-1}, 1), (a^{-1}a, 1) \mid a \in A_0\}$. Let \bar{u} (respectively \hat{u}) denote the irreducible form of u in the rewriting system of M (respectively G).

Now φ extends to an endomorphism Φ of G through $a_0^{-1}\Phi = (a_0\varphi)^{-1}$ ($a_0 \in A_0$). By Theorem 3.2, $\text{Fix } \Phi$ is a finitely generated and therefore rational subgroup of G . Denoting the canonical homomorphism $A^* \rightarrow G$ by π , it follows that $\text{Fix } \Phi = L\pi$ for some rational $L \subseteq A^*$. In view of Theorem 2.2, $\overline{\text{Fix } \Phi} = \widehat{L}$ is a rational subset of A^* . Since $\overline{\text{Fix } \varphi} = \overline{\text{Fix } \Phi} \cap (A_0 \cup A_1)^*$, it follows that $\overline{\text{Fix } \varphi}$ is a rational subset of $(A_0 \cup A_1)^*$ and so $\text{Fix } \varphi$ is a rational subset of M . \square

4 Computability

We start this section by considering the simple case of free monoid endomorphisms, whose discussion is essential to the follow-up.

Let A be a finite alphabet and let $\varphi \in \text{End}A^*$. Write $m = |A|$ and define

$$A_2 = \{a \in A \mid a\varphi^n = 1 \text{ for some } n \geq 1\},$$

$$A_3 = A \setminus A_2,$$

$$A_4 = \{a \in A_3 \mid a\varphi \in A_2^* a A_2^*\}.$$

Let Γ be the directed graph with vertex set A and edges $a \rightarrow b$ whenever b occurs in $a\varphi$. Then $a \in A_2$ if and only if there exists no infinite path $a \rightarrow \dots$ in Γ . This is equivalent to say there is no path $a \rightarrow \dots$ in Γ of length m , hence

$$A_2 = \{a \in A \mid a\varphi^m = 1\} \tag{4}$$

and is therefore effectively computable, and so are A_3 and A_4 .

Given $B \subseteq A$, we denote by $\theta_{A,B}$ the homomorphism $A^* \rightarrow B^*$ defined by

$$a\theta = \begin{cases} a & \text{if } a \in B \\ 1 & \text{otherwise} \end{cases}$$

Lemma 4.1 *Let $\varphi \in \text{End}A^*$ and $m = |A|$. Then $\text{Fix } \varphi = (A_4\varphi^m)^*$.*

Proof. Let $a \in A_4$. Then $a\varphi = uav$ for some $u, v \in A_2^*$. It follows from (4) that

$$a\varphi^{m+1} = (uav)\varphi^m = \overline{(u\varphi^m)(a\varphi^m)(v\varphi^m)} = a\varphi^m,$$

hence $A_4\varphi^m \subseteq \text{Fix } \varphi$ and so $(A_4\varphi^m)^* \subseteq \text{Fix } \varphi$.

To prove the opposite inclusion, let $\theta = \theta_{A, A_3}$. Take $u \in \text{Fix } \varphi$. Then $u\varphi\theta = u\theta$. Since $A_2\varphi \subseteq A_2^*$, we get $u\theta\varphi\theta = u\varphi\theta = u\theta$ and so $u\theta \in \text{Fix } (\varphi\theta)$. Since $1 \notin A_3\varphi\theta$, it follows easily that $u\theta \in A_4^*$. Hence $u \in (A_2 \cup A_4)^*$ and so $u = u\varphi^m \in (A_2 \cup A_4)^*\varphi^m = A_4^*\varphi^m = (A_4\varphi^m)^*$ as required. \square

Note that, given an endomorphism φ of $A^* * G$, where G is a group, the restriction $\varphi|_G$ is an endomorphism of G . Clearly, G is the (unique) maximal subgroup of $A^* * G$.

Theorem 4.2 *Let $M = A_0^* * G$ be finitely generated, where G is a c -free group. Let $\varphi \in \text{End}M$ be such that the equation*

$$x = v(x\varphi|_G)w \quad (x \in G)$$

has an effectively constructible rational solution set for all $v, w \in G$. Then $\text{Fix } \varphi$ is an effectively constructible rational submonoid of M .

Proof. Let G be defined by the finite special confluent rewriting system $\langle A_1 \mid R \rangle$. Write $A = A_0 \cup A_1$ and $\theta = \theta_{A, A_0}$. For every $u \in A^* \setminus A_1^*$, let $u\xi$ denote the longest factor of u in $A_0A^* \cap A^*A_0$. Let A_2, A_3 and A_4 be defined as in the beginning of the section, replacing A by A_0 and φ by $\psi = \varphi|_{A_0^*}\theta$. Write $m = |A_0|$. Let $u = a_1 \dots a_n \in A_4\psi^m$ with $a_1, \dots, a_n \in A_0$.

Lemma 4.3 *There exist effectively constructible rational subsets L_1, \dots, L_{n-1} of G such that the solution set of the equation*

$$a_1x_1a_2 \dots x_{n-1}a_n = (a_1x_1a_2 \dots x_{n-1}a_n)\varphi\xi \quad (x_i \in G) \quad (5)$$

is precisely $L_1 \times \dots \times L_{n-1}$.

Proof. Let $1 \leq i_1 < \dots < i_k \leq n$ denote all $i \in \{1, \dots, n\}$ such that $a_i\psi \neq 1$. Then there exist $1 = j_1 < \dots < j_{k+1} = n + 1$ such that

$$a_{i_r}\psi = a_{j_r} \dots a_{j_{r+1}-1}$$

for every $r \in \{1, \dots, k\}$. Moreover, there exist words $p_s, w_s, q_s \in \overline{A_1^*}$ such that

$$a_{i_r}\varphi = p_{j_r-1}a_{j_r}w_{j_r}a_{j_r+1} \dots w_{j_{r+1}-2}a_{j_{r+1}-1}q_{j_{r+1}-1}$$

for $r \in \{1, \dots, k\}$. We claim that the equation (5) is equivalent to the system of $n - 1$ equations

$$\begin{cases} x_{j_r-1} = q_{j_r-1}(x_{i_{r-1}}a_{i_{r-1}+1}x_{i_{r-1}+1} \dots a_{i_r-1}x_{i_r-1})\varphi p_{j_r-1} \\ \quad \text{for } r \in \{2, \dots, k\} \\ x_i = w_i \\ \quad \text{whenever } j_r \leq i < j_{r+1} - 1 \text{ for some } r \in \{1, \dots, k\} \end{cases}$$

Indeed, since $a_1 \dots a_n \in \text{Fix } \psi$, all we need is to find out necessary and sufficient conditions that the x_i must satisfy in the equation (5). Let $i \in \{1, \dots, n-1\}$, and consider x_i in the left hand side of (5). Clearly, if $j_r \leq i < j_{r+1} - 1$ for some $r \in \{1, \dots, k\}$, then x_i is fully determined by $a_{j_r} \varphi$ through $x_i = w_i$.

Otherwise, $i = j_r - 1$ for some $r \in \{2, \dots, k\}$. In this case, we need to compute which part of the right hand side of (5) eventually determines x_{j_r-1} : the longest suffix of $a_{i_{r-1}} \varphi$ in $\overline{A_1^*}$, the longest prefix of $a_{i_r} \varphi$ in $\overline{A_1^*}$, and the image of the factor between $a_{i_{r-1}}$ and a_{i_r} . This proves the claim.

Next we show that our system is equivalent to a system of equations of the form $(x_i = y_i)_{i=1, \dots, n-1}$, where

$$y_i \in \overline{A_1^*} \cup \overline{A_1^*}(x_i \varphi) \overline{A_1^*}$$

for $i = 1, \dots, n-1$. For that purpose, we define a directed graph Λ with vertex set $\{1, \dots, n-1\}$ and edges

$$(j_r - 1) \longrightarrow i \quad \text{whenever } i_{r-1} \leq i < i_r \quad (r = 2, \dots, k).$$

Clearly, if j has outdegree 0, then $x_j = w_j$ is an equation in the original system. It follows easily that, if there is no infinite path $j \longrightarrow \dots$ in Λ , then x_j is uniquely determined in the solution set of (5) (if it is nonempty). Thus we need to discuss the structure of infinite paths in Λ . Since Λ is finite, such an infinite path must always contain a cycle. We show next that all cycles in Λ have length 1.

Suppose that

$$(j_{r_1} - 1) \longrightarrow (j_{r_2} - 1) \longrightarrow \dots \longrightarrow (j_{r_s} - 1) = (j_{r_1} - 1)$$

is a cycle of length $s-1$ in Λ . We claim that there exists a loop $(j_{r_1} - 1) \longrightarrow (j_{r_1} - 1)$ in Λ , which is equivalent to

$$i_{r_1-1} \leq j_{r_1} - 1 < i_{r_1}. \quad (6)$$

Suppose first that $i_{r_1-1} > j_{r_1} - 1$. It suffices to show that

$$i_{r_t-1} > j_{r_t} - 1 \text{ implies } (r_t < r_{t+1} \text{ and } i_{r_{t+1}-1} > j_{r_{t+1}} - 1) \text{ for } t = 1, \dots, s-1$$

to derive a contradiction from $r_s = r_1$. Indeed, assume that $i_{r_t-1} > j_{r_t} - 1$. Since $(j_{r_t} - 1) \longrightarrow (j_{r_{t+1}} - 1)$ is an edge of Λ , we have $i_{r_t-1} \leq j_{r_{t+1}} - 1 < i_{r_t}$ and so $i_{r_t-1} < j_{r_{t+1}} \leq i_{r_t}$. Hence $j_{r_t} \leq i_{r_t-1} < j_{r_{t+1}}$ and so $r_t < r_{t+1}$. It follows that $i_{r_{t+1}-1} \geq i_{r_t} > j_{r_{t+1}} - 1$ and so our implication holds, yielding the desired contradiction.

Suppose now that $j_{r_1} - 1 \geq i_{r_1}$. Similarly to the preceding case, it suffices to show that

$$j_{r_t} - 1 \geq i_{r_t} \text{ implies } (r_t > r_{t+1} \text{ and } j_{r_{t+1}} - 1 \geq i_{r_{t+1}}) \text{ for } t = 1, \dots, s-1$$

to derive a contradiction from $r_s = r_1$. Indeed, assume that $j_{r_t} - 1 \geq i_{r_t}$. Since $i_{r_{t-1}} \leq j_{r_{t+1}} - 1 < i_{r_t}$, we get $j_{r_t} - 1 \geq i_{r_t} > j_{r_{t+1}} - 1$ and so $r_t > r_{t+1}$. It follows that $j_{r_{t+1}} - 1 \geq i_{r_{t-1}} \geq i_{r_{t+1}}$, yielding the desired contradiction.

Thus (6) holds and so there exists a loop $(j_{r_1} - 1) \longrightarrow (j_{r_1} - 1)$ in Γ . It is immediate that no vertex of Λ can have indegree > 1 , hence $j_{r_{s-1}} - 1 = j_{r_1} - 1$ and so $r_{s-1} = r_1$, yielding $s = 2$. Therefore all cycles in Λ have length 1.

In view of the indegree property, it follows that the unique infinite path $j \rightarrow \dots$ in Λ , if such paths exist, consists of infinitely many tours of the loop $j \rightarrow j$: you cannot enter a loop unless you have always been there.

Now take an equation of the form

$$x_{j_r-1} = q_{j_r-1}(x_{i_{r-1}} a_{i_{r-1}+1} x_{i_{r-1}+1} \dots a_{i_r-1} x_{i_r-1}) \varphi p_{j_r-1}$$

for $r \in \{2, \dots, k\}$ in our original system. If there is no loop $j_r - 1 \rightarrow j_r - 1$ in Λ , then the equations $x_i = w_i$ will eventually determine a unique possible value for x_{j_r-1} and we can replace the above equation by some other of the form $x_{j_r-1} = w_{j_r-1}$ for some $w_{j_r-1} \in \overline{A_1^*}$.

Finally, assume that there is a loop $j_r - 1 \rightarrow j_r - 1$ in Λ . Then $x_{j_r-1} \in \{x_{i_{r-1}}, \dots, x_{i_r-1}\}$ and all the other variables are bound to be eventually determined by the equations $x_i = w_i$. Since

$$q_{j_r-1}, a_{i_{r-1}+1} \varphi, \dots, a_{i_r-1} \varphi, p_{j_r-1} \in \overline{A_1^*}$$

are constants, we can replace our equation by another of the form $x_{j_r-1} = v_{j_r-1}(x_{j_r-1} \varphi) z_{j_r-1}$ for some $v_{j_r-1}, z_{j_r-1} \in \overline{A_1^*}$. Note also that this construction of the new system is an effective procedure since it consists of successively replacing some x_i by w_i in the other equations. Therefore we can now concentrate on the new system $(x_i = y_i)_{i=1, \dots, n-1}$. Since each variable occurs just in one equation, and no equation contains more than one single variable, the solution set will come out as a direct product $L_1 \times \dots \times L_{n-1}$, where L_i is the solution set of the equation containing x_i . If this equation is of the form $x_i = w_i$, then $L_i = \{w_i\}$ is (trivially) an effectively constructible rational subset of G . If the equation is of the form $x_i = v_i(x_i \varphi) z_i$, then the claim follows from the Lemma's hypothesis on $\varphi|_G$. \square

We remark that there exist $p, q \in G$ such that

$$(a_1 x_1 a_2 \dots x_{n-1} a_n) \varphi = p((a_1 x_1 a_2 \dots x_{n-1} a_n) \varphi \xi) q$$

for every solution $(x_1, \dots, x_{n-1}) \in L_1 \times \dots \times L_{n-1}$. Indeed, the prefix erased by ξ is $(a_1 x_1 \dots a_{i_1-1} x_{i_1-1}) \varphi v_{i_1}$ and is uniquely determined since $x_1, x_2, \dots, x_{i_1-1}$ are uniquely determined as well. A symmetric argument applies to suffixes.

Back to the proof of Theorem 4.2, take $u \in \overline{A^*}$. Note that $u \in \text{Fix } \varphi$ implies $u\theta \in \text{Fix } \psi$, hence we may restrict our attention to this latter condition. In view of Lemma 4.1, we may write $u\theta = u_1 \dots u_t$ for some $u_1, \dots, u_t \in A_4 \psi^m$. If $t = 0$, then $\varphi|_G \in \text{End } G$ implies that $u \in \text{Fix } \varphi$ if and only if $u \in \text{Fix } \varphi|_G$, and we can use the theorem's hypothesis with $v = w = 1$. Therefore we only need to concentrate on the case $t > 0$.

Write

$$u_i = a_{i1} a_{i2} \dots a_{in_i} \quad (a_{ij} \in A_0)$$

for $i = 1, \dots, t$. Then we may consider

$$u = y_0 a_{11} x_{11} a_{12} x_{12} \dots a_{1n_1} y_1 a_{21} x_{21} \dots a_{2n_2} y_2 \dots y_{t-1} a_{t1} x_{t1} \dots a_{tn_t} y_t$$

with $y_i, x_{ij} \in G$.

Now $u_1, \dots, u_t \in \text{Fix } \psi$. Moreover, for every $i \in \{1, \dots, t\}$, by the remark following the proof of Lemma 4.3, there exist $p_i, q_i \in G$ such that

$$(a_{i1} x_{i1} a_{i2} x_{i2} \dots a_{in_i}) \varphi = p_i((a_{i1} x_{i1} a_{i2} x_{i2} \dots a_{in_i}) \varphi \xi) q_i$$

for every solution of the equation $a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i} = (a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i})\varphi\xi$. We call p_i (respectively q_i) the *volatile prefix* (respectively *suffix*) of the equation associated to $a_{i1}\dots a_{in_i}$.

Using the conventions $q_0 = p_{t+1} = 1$, it is straightforward to check that $u \in \text{Fix } \varphi$ if and only

$$\begin{cases} a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i} = (a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i})\varphi\xi \\ \quad \text{for } i = 1, \dots, t \\ y_i = q_i(y_i\varphi_G)p_{i+1} \\ \quad \text{for } i = 0, \dots, t \end{cases}$$

Note that, in such a system, the solution sets of the blocks of equations $a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i} = (a_{i1}x_{i1}a_{i2}x_{i2}\dots a_{in_i})\varphi\xi$ ($i = 1, \dots, t$) have effectively constructible rational solution sets in view of Lemma 4.3. On the other hand, each equation $y_i = q_i(y_i\varphi_G)p_{i+1}$ has also an effectively constructible rational solution set by the theorem's hypothesis, and there are only finitely many choices for the p_i, q_i .

Assume that $A_4\psi^m = \{f_1, \dots, f_s\}$. For $i = 1, \dots, s$, let $L_1 \times \dots \times L_{n-1}$ denote the solution set of the equation (5), where $f_i\theta = a_1a_2\dots a_n$. We define $Y_i = a_1L_1a_2\dots L_{n-1}a_n$. Note that Y_i is an effectively constructible rational subset of M .

For $i, j = 1, \dots, s$, we define the following subsets of G , where p_i (respectively q_i) is the volatile prefix (respectively suffix) of the equation associated to f_i :

- Z_{ij} is the solution set of the equation $y = q_i(y\varphi_G)p_j$;
- $Z_{i,s+1}$ is the solution set of the equation $y = q_i(y\varphi_G)$;
- Z_{0j} is the solution set of the equation $y = (y\varphi_G)p_j$;
- $Z_{0,s+1} = \text{Fix } \varphi_G$.

By the theorem's hypothesis, all the Z_{ij} are effectively constructible rational subsets of G .

We build an A -automaton with rational edges $\mathcal{A} = (Q, f_0, f_{s+1}, E)$ as follows:

- $Q = \{f_0, f_1, \dots, f_s, f_{s+1}\}$.
- For $i = 1, \dots, s$ and $j = 1, \dots, s+1$, $(f_i, Y_iZ_{ij}, f_j) \in E$.
- For $j = 1, \dots, s+1$, $(f_0, Z_{0j}, f_j) \in E$.

It is straightforward to check that $\text{Fix } \varphi = (L(\mathcal{A}))\pi$, hence $\text{Fix } \varphi$ is an effectively constructible rational submonoid of M . \square

Corollary 4.4 *Let $M = A_0^* * G$ be finitely generated, where G is a free group. Let $\varphi \in \text{End}M$ be such that $\varphi|_G$ is an automorphism. Then $\text{Fix } \varphi$ is an effectively constructible rational submonoid of M .*

Proof. In view of Theorem 4.2, it suffices to prove that the equation

$$x = v(x\psi)w \quad (x \in G)$$

has an effectively constructible rational solution set L for all $v, w \in G$ and $\psi \in \text{Aut}G$.

Fix v, w, ψ . Then $x = v(x\psi)w$ is equivalent to $x^{-1}v(x\psi) = w^{-1}$ and so we can decide whether or not this equation has a solution x_0 by [4], and compute it in the affirmative case. Now

$$\begin{aligned} x = v(x\psi)w &\Leftrightarrow x^{-1}v(x\psi) = w^{-1} \Leftrightarrow x^{-1}v(x\psi) = x_0^{-1}v(x_0\psi) \Leftrightarrow x_0x^{-1} = v(x_0x^{-1})\psi v^{-1} \\ &\Leftrightarrow x_0x^{-1} = (x_0x^{-1})\psi\lambda_{v^{-1}} \Leftrightarrow xx_0^{-1} = (xx_0^{-1})\psi\lambda_{v^{-1}}, \end{aligned}$$

where $\lambda_{v^{-1}}$ denotes the inner automorphism of G defined by $g\lambda_{v^{-1}} = vgv^{-1}$. Since $\psi\lambda_{v^{-1}} \in \text{Aut}G$, it follows from [14] that $\text{Fix}(\psi\lambda_{v^{-1}})$ is an effectively constructible finitely generated subgroup of G , and so $L = (\text{Fix}(\psi\lambda_{v^{-1}}))x_0$ is an effectively constructible rational subset of G as required. \square

The next example shows that $\text{Fix} \varphi$ is not necessarily a finitely generated submonoid of M . Note that $\text{Fix} \varphi$ is finitely generated if M is a free monoid (by Lemma 4.1) or a free group (by [10]).

Example 4.5 Let $M = \{a, c\}^* \times FG_{\{b\}}$ and let $\varphi \in \text{End}M$ be defined by $a\varphi = ab$, $b\varphi = b$ and $c\varphi = b^{-1}c$. Then $\varphi|_{FG_{\{b\}}}$ is an automorphism but $\text{Fix} \varphi$ is not finitely generated.

Let $G = FG_{\{b\}}$. It is a simple exercise to show that $\text{Fix} \varphi = (G \cup aGc)^*$ and that this monoid is not finitely generated.

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